

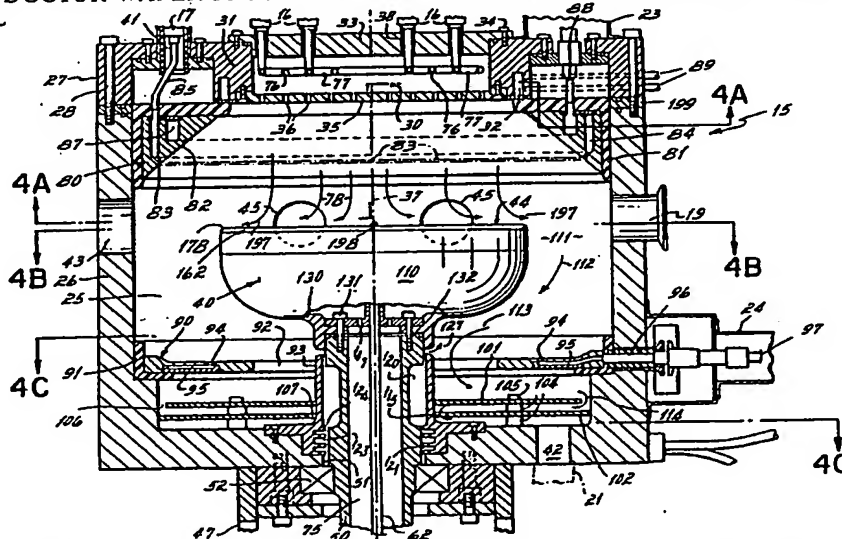
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : <b>H01L 21/205, 21/365</b>		A1	(11) International Publication Number: <b>WO 93/26038</b>
			(43) International Publication Date: <b>23 December 1993 (23.12.93)</b>
(21) International Application Number: <b>PCT/US93/05656</b>		(74) Agents: JORDAN, Joseph, R. et al.; Wood, Herron & Evans, 2700 Carew Tower, Cincinnati, OH 45202 (US).	
(22) International Filing Date: <b>11 June 1993 (11.06.93)</b>			
(30) Priority data: <b>07/898,800</b> <b>15 June 1992 (15.06.92)</b> <b>US</b>		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(71) Applicant: MATERIALS RESEARCH CORPORATION [US/US]; Route 303, Orangeburg, NY 10962 (US).			
(72) Inventors: FOSTER, Robert, F. ; 5002-3 East Siesta Drive, Phoenix, AZ 85044 (US). REBENNE, Helen, E. ; 8-01 Creek Court, Fair Lawn, NJ 07410 (US). LEBLANC, Rene, E. ; 199 Turtle Bay, Branford, CT 06405 (US). WHITE, Carl, L. ; 1518 Islandia Drive, Gilbert, AZ 85234 (US). ARORA, Rikhit ; 1055 West Baseline Road, Apt. #2046, Mesa, AZ 85210 (US).		Published With international search report.	

(54) Title: SEMICONDUCTOR WAFER PROCESSING METHOD AND APPARATUS WITH HEAT AND GAS FLOW CONTROL



(57) Abstract

A semiconductor wafer processing apparatus (10) is provided with a susceptor (40) for supporting a wafer (44) for CVD of films such as blanket or selective deposition of tungsten or titanium nitride, and degassing and annealing processes. Preferably, a downwardly facing showerhead (35) directs a gas mixture from a cooled mixing chamber (30) onto an upwardly facing wafer (44) on the susceptor (40). Smooth interior reactor surfaces include baffles (90, 101, 102) and a susceptor lip (162) and wall (130) shaped to minimize turbulence. Inert gases flow to minimize turbulence by filling gaps in susceptor structure, prevent contamination of moving parts, conduct heat between the susceptor and the wafer, and vacuum clamp the wafer to the susceptor. A susceptor lip (162) surrounds the wafer (44) and is removable for cleaning, to accommodate different size wafers, and allows change of lip materials for different processes, such as, one which will resist deposits during selective CVD, or one which scavenges unspent gases in blanket CVD. The lip (162) smooths gas flow, reduces thermal gradients at the wafer edge. The susceptor design reduces heat flow from the susceptor to other reactor parts by conduction or radiation.

Best Available Copy

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SK	Slovak Republic
CI	Côte d'Ivoire	LJ	Liechtenstein	SN	Senegal
CM	Cameroon	LK	Sri Lanka	SU	Soviet Union
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	MC	Monaco	TG	Togo
DE	Germany	MG	Madagascar	UA	Ukraine
DK	Denmark	ML	Mali	US	United States of America
ES	Spain	MN	Mongolia	VN	Viet Nam
FI	Finland				

**SEMICONDUCTOR WAFER PROCESSING METHOD AND  
APPARATUS WITH HEAT AND GAS FLOW CONTROL**

The present invention relates to chemical vapor deposition (CVD) reactors for the processing of semiconductor wafers, and particularly to CVD reactor modules for semiconductor wafer processing cluster tools. More particularly, the present invention is applicable to the CVD of coating materials such as tungsten, titanium nitride and other metal and dielectric substances onto silicon semiconductor wafers, and to other silicon processes in which mass transport is a present but not controlling characteristic of the process.

**Background of the Invention:**

In the manufacture of semiconductor wafers and of other similarly manufactured articles, sequences of processes including coating, etching, heat treating and patterning are sequentially employed. Most of these processes involve the chemical or physical addition or removal of material to or from a surface of a substrate, usually transported as a vapor.

- 2 -

Certain coating processes in such sequences are performed by chemical vapor deposition (CVD). CVD is preferred, for example, in applying films to the differently facing surfaces of holes through underlying layers, as, for example, to apply conductive films for the purpose of making interconnections across insulating layers and the like.

The ultimate result of CVD processes for filling holes or vias, and for forming interconnections between layers on semiconductor wafers, is frequently the selective deposition of the film, that is, formation of a permanent film on only selected portions of the wafer surfaces. Direct selective application by CVD of such coatings is often unreliable, unsuccessful, or slow, and thus undesirable on a commercial scale, where rapid throughput and efficient use of expensive machinery is important. Therefore, selective end product films are often applied in blanket fashion and then etched back from the areas where permanent film is undesired.

Blanket CVD of materials, such as tungsten, followed by an etching back of the deposited material, requires a high degree of uniformity in the blanket film, particularly on the areas of a substrate from which the material is to

- 3 -

be etched. If the coating is irregular in the etch-back areas, the etching process may selectively damage the underlying layers in regions of the wafer where the blanket film to be etched is thin, or may result in regions where residual film remains. CVD reactors of the prior art have coated substrates with limited uniformity, or at limited speed. Accordingly, more uniform application of the films and higher speed CVD reactors, particularly for blanket coating applications of materials such as tungsten, are required.

To uniformly apply films such as tungsten by CVD to semiconductor wafers, it is desirable to ensure a uniform supply of reactant gases across the surfaces of the wafers, and to uniformly remove spent gases and reaction byproducts from the surfaces being coated. In this respect, prior art CVD reactors perform with limited success. Similarly, in other processes such as physical and chemical etching and heat treating processes, including preheating and annealing processes, prior art systems have been inadequate in uniformly bringing vapors into contact with, and removing them from, the surface being processed. Accordingly, there is a need to more efficiently and more uniformly supply and remove reaction and other gases to and from the surfaces of wafers

- 4 -

being processed, and particularly those being coated by CVD processes.

Efficient commercial production of semiconductor wafers requires that the processing equipment function as continuously as possible. When deposits form on interior components of processing chambers, such as those of CVD reactors, they become ineffective and their use must be suspended for cleaning. Many reactors of the prior art require cleaning at an undesirable frequency, or are too difficult and too slow to clean, thus resulting in excessive reactor downtime. Accordingly, there is a continuing need for processing chambers such as those of CVD reactors that require less frequent cleaning of components, that reduce unwanted deposition on components, and that can be cleaned more rapidly.

In the chambers of CVD reactors and other wafer processors of the prior art, turbulence in the flow of reaction gases has inhibited the efficiency and uniformity of the coating process and has aggravated the deposition and migration of contaminants within the reaction chamber. Accordingly, there is a need for improved gas flow, and reduced gas flow turbulence, within such chambers.

- 5 -

CVD processes such as those for the application of tungsten coatings to semiconductor wafers are typically performed in cold wall reactors, where the wafers to be coated are heated to a reaction temperature on a susceptor while other surfaces of the reactor are maintained at subreaction temperatures to prevent the deposition of films thereon. For tungsten CVD, for example, reactor walls are often cooled, often to about room temperature. Alternatively, for titanium nitride (TiN) CVD, the walls may be heated above room temperature, but to a temperature below that of the substrate being treated. In such cases, there is a need in the designs of such wafer processing devices that have components that are maintained at different temperatures to prevent heat from flowing between the wafer or susceptor and other components of the apparatus.

In tungsten CVD processes, tungsten hexafluoride gas ( $WF_6$ ) is commonly employed. This  $WF_6$  gas is costly, as are the gases employed in many other wafer treating processes. When the gas utilization efficiency is low, as is the case of many reactors of the prior art, the cost of the gas can be high. With many tungsten CVD reactors, the utilization efficiency of  $WF_6$  is below twenty percent, and the cost of the  $WF_6$  often exceeds

- 6 -

thirty percent of the entire cost of the performance of the process for application of the tungsten film. Accordingly, CVD reactors that are more efficient in the consumption of reactant gases such as  $WF_6$  are required.

CVD processes may be divided into two categories, those that are mass transport controlled and those that are surface condition or temperature controlled. Mass transport controlled processes are typically those involving the CVD of group III-V materials onto substrates such as gallium arsenide wafers or for the epitaxial growth of silicon. Such processes are controlled by the transport of gases to and from the wafer surfaces and have been used by moving the wafers, typically mounted in pluralities on rotating or otherwise moving susceptors that cause the substrates to orbit about an axis in a flowing gas, or otherwise employing techniques to enhance and control the gas flow across the wafers. Typically, the mass transport controlled CVD processes will be found on an Arrhenius plot, that is a plot of the log of the deposition rate versus the reciprocal of the temperature, above the knee in the curve.

Wafer temperature or surface condition controlled CVD processes are typically found below the knee of the Arrhenius plot curve. These are

- 7 -

brought about by lower temperatures, and usually at lower pressures of from 1 to 100 Torr. Generally, such processes are not regarded in the prior art as amenable to enhancement by wafer movement, except to achieve temperature or reaction uniformity, which is promoted with low speed movement.

Summary of the Invention:

It has been a primary objective of the present invention to provide an efficient and productive apparatus for the thin film processing of articles such as semiconductor wafers. It is a more particular objective of the present invention to provide such an apparatus primarily useful for the chemical vapor deposition of films onto semiconductor wafers, as, for example, the blanket or selective deposition of, for example, tungsten, titanium nitride and similarly materials deposited by CVD processes onto silicon semiconductor wafers.

It has been a further objective of the present invention to provide in such a processing apparatus, such as a CVD apparatus for uniformly applying film to semiconductor wafers, such as for applying blanket films of tungsten, other metal and dielectric material, by CVD processes, and other silicon processes that are primarily surface temperature controlled and dependent, that is effective in enhancing the rate and quality of the

- 8 -

effective in enhancing the rate and quality of the wafer coating or other processed surface, whether planar or, more importantly non-planar or patterned.

5                   It has been another objective of the present invention to provide a sealed chamber processing apparatus, such as a cold wall CVD reactor, having minimal heat flow from the heated wafer or susceptor to other components of the  
10                   apparatus that should remain cooler.

                  It has been a further objective of the present invention to provide a processing apparatus requiring less downtime for cleaning, having a  
15                   resistance to the buildup of unwanted deposits within and to the propagation of contaminants therethrough, and that is efficiently cleaned.

                  It is a particular objective of the present invention to enhance the uniformity of the application of coating in a CVD processing  
20                   apparatus, to maintain clean internal surfaces, and to thermally isolate the heated wafer or susceptor, by reducing the turbulent flow of gases within the reactor.

                  Further objectives of the present  
25                   invention include providing for inert gas flow within the reactor to facilitate the holding of the wafer to the susceptor, to enhance the conduction

- 9 -

of heat between the wafer and the susceptor, to protect internal components of the reactor from undesired deposits and contamination, and to assist the non-turbulent flow of reactant gases through the reactor.

It is a further objective of the present invention to provide a CVD reactor which will easily accommodate wafers of differing sizes for coating and accommodate different coating processes.

It has been a particular objective of the present invention to provide a processor and module for a wafer processing cluster tool or stand-alone processor utilizing a single wafer rotating susceptor, and particularly one for the chemical vapor deposition of films, such as blanket films, of materials such as tungsten, titanium nitride, and other such films amenable to such processes, and alternatively for the selective deposition of such materials.

According to the principles of the present invention, there is provided a CVD processing apparatus with a reactor having a single wafer rotating susceptor on which a wafer is maintained at a processing temperature, and having a reactor wall maintained at a different temperature. In reactors for the application of

- 10 -

films such as tungsten, the walls are cooled to approximately room temperature while in those for the application of titanium nitride films, the walls are heated to above room temperature but to below the optimum processing temperature of the susceptor.

The preferred embodiment of the present invention provides a CVD module for a wafer processing cluster tool having a rotating wafer holding susceptor that rotates on a vertical axis, is preferably upwardly facing, and has a flow of reactant gas directed from a showerhead, preferably downwardly, toward and perpendicular to the wafer, with the susceptor rotating sufficiently fast to cause a thin boundary layer to form above the wafer surface, across which the gases that interact with the wafer surface diffuse. In the CVD reactor, reactant gases flow radially outwardly from a stagnation point at the wafer center on the axis of rotation.

In the preferred embodiment of the invention, gases are caused to flow with minimum turbulence from a downwardly facing showerhead at the top of the chamber, downwardly against the upwardly facing wafer surface, radially outwardly across the wafer surface, over a wafer encircling ring or lip, downwardly along the susceptor side-

- 11 -

wall, through annular openings defined by baffles, and then out a single vacuum exhaust port in the end or bottom of the chamber opposite the showerhead. In CVD applications, plasma cleaning electrodes are provided and are combined with structure shaped to facilitate non-turbulent gas flow. The walls of the susceptor have finishes and cross-sections that retard the flow of heat from the heated components to the cooled components of the reactor.

In one alternative embodiment of the invention, an inert gas is introduced at points around the wafer, the wafer support and the susceptor rotating structure to inhibit contamination thereof by particles and reactant gas and to facilitate the smooth flow of the gases through the chamber across junctures of the susceptor components. In other embodiments, inert gas is employed for retention of the wafer, by relative vacuum, to the susceptor, and to enhance heat conduction between the susceptor and the wafer. In embodiments where inert gas is introduced around the rim of the wafer and for vacuum clamping of the wafer to the susceptor, the inert gases are introduced from separate supplies, with the rim gas introduced at or above the

- 12 -

processing chamber pressure and the vacuum clamping gas introduced at a lower pressure.

In accordance with the preferred and illustrated embodiment of the present invention, there is provided a CVD reactor having an upwardly facing rotary susceptor spaced below a horizontally disposed, downwardly directed reactant gas distributing showerhead that separates a gas mixing chamber from a reaction chamber that encloses the susceptor. The mixing chamber, located at the top of the reaction chamber, is maintained at a relatively low, sub-reaction temperature, along with the walls of the reaction chamber. In tungsten deposition applications, the mixing chamber and the reaction chamber walls are cooled to approximately room temperature, either with ethylene glycol, water or some other suitable fluid, while in titanium nitride deposition applications, these are heated to a temperature between room temperature and the reaction temperature of the susceptor.

During a deposition reaction, the susceptor is rotated. For 150 millimeter wafers in a tungsten deposition process, with reaction pressures at about 50 Torr, the susceptor is rotated at least at 200 RPM, preferably at not more than 2000 RPM, and most preferably in the range of

- 13 -

from 500 to 1500 RPM. The rotation results in a stagnation point at the center of the wafer and minimizes the thickness of the boundary layer immediately above the surface of the wafer, enabling the process gas to reach the wafer faster and the by-products from the process to escape from the upper surface of the wafer. As such, these features present advantages not only in deposition processes such as CVD, but in etching processes and other processes where gases must efficiently be brought into contact with the wafer surface or efficiently removed from the surface, such as annealing and degassing processes and other heat treating processes.

In the preferred and illustrated embodiment, the susceptor is heated to approximately 400-550°C, preferably 450°C, and heat from the heated susceptor is prevented from significantly heating the rotary shaft on which the susceptor is supported by its mounting and its fabrication. Highly reflective surfaces on all elements inside the rotating susceptor minimize heat transfer between the heated wafer support of the susceptor and the drive assembly. In addition, a dull surface finish is provided on the exterior of the rotating susceptor to maximize radiation of heat away from the susceptor toward the chamber

- 14 -

walls where the walls are cooled, and to minimize absorption of heat from the chamber walls where the chamber walls are heated. Extremely thin susceptor walls further minimize heat transfer between the heated wafer support and the drive assembly.

The chamber walls are also thermally isolated from the drive assembly. A susceptor mounting disc connects an annular flange on the susceptor base with the top of the susceptor drive shaft, and is provided with projecting support structure to present a minimum contact surface to act as a thermal block to further reduce heat transfer between the heated wafer support and the drive assembly.

The reactant gas is caused to flow from the showerhead with minimum turbulence, downward to a single exhaust outlet in the bottom of the reaction chamber. Multiple baffles at the bottom region of the chamber encircle the susceptor shaft and provide annular gas flow openings around the shaft to present progressively decreasing cross-sectional area to the gas flow, thus providing a pressure gradient that facilitates exhausting gas uniformly through the single port in the chamber floor without creating turbulence inside the chamber. The exterior shape or envelope of the rotating susceptor is smoothly contoured to further

- 15 -

minimize turbulence. An annular lip is provided around the wafer on the upper surface of the heated wafer support, and is closely spaced to the circular edge of the wafer and flush with the upper surface thereof to further minimize turbulence and to also eliminate radial thermal gradients in the wafer in the edge region thereof. The wafer-encircling lip is a separate annular element which can be readily removed and substituted with a different one having a different internal diameter to accommodate wafers of different sizes. Rounded corners on the upper circular edge of the annular lip element further minimize turbulence. This lip element has a substantial upwardly facing annular surface to serve as a scavenger for unused tungsten hexafluoride gas or other reactant gas, thereby minimizing the amount of reactant gas which has to be scrubbed from the exhaust.

In this preferred embodiment, a pair of annular electrodes are provided, one at the top of the chamber and one at the bottom of the chamber, for plasma cleaning of the reactor. Each of these electrodes is provided with openings for injection into the chamber of  $\text{NF}_3$  gas, in the case of tungsten deposition, or some other cleaning gas appropriate to the process. The openings are disposed in circular arrays in the upper and lower electrodes

- 16 -

to facilitate plasma cleaning of the interior components of the chamber. The upper electrode has a conical inner surface with an angulation from the diameter of the showerhead toward the reaction chamber wall, which also contributes to the minimization of turbulence. The lower electrode is incorporated into the uppermost one of the baffles.

Further in accordance with one and alternative embodiment of the invention, nitrogen gas passages above and below a vacuum passage, all of which passages encircle the drive-shaft in the base of the chamber, reduce reactant gas and particulate contamination of the bearings and other shaft supporting and moving structure. This feature is preferred where it is desirable to extend the service life of the bearing and increase the time required between servicing.

In embodiments where vacuum holding of the wafer to the susceptor is employed, helium leakage paths are provided around wafer lifting pins on the susceptor surface, which facilitates vacuum gripping of the wafer when the pressure in the rotating susceptor interior is maintained below the pressure of the CVD chamber. Further, helium gas below the wafer between the back of the wafer and the upper surface of the heated wafer support, which, unless the pressure thereof is too low, will

- 17 -

provide heat transfer between the back side of the wafer and the wafer support through gas phase thermal conduction.

The preferred embodiment of the invention is most advantageous for blanket CVD of tungsten, performed at pressures of from 10-100 Torr and at wafer temperatures at from 425-525°C. The process is preferably performed with a nucleation step in which  $WF_6$  is reduced with silane, followed by a deposition step in which  $WF_6$  is reduced with hydrogen.

In an alternative embodiment of the invention, the reactor can be advantageously used for the selective deposition of tungsten, intended to coat contacts and fill vias. With this embodiment, the susceptor surfaces contacting the wafer, particularly the lip surrounding the edge of the wafer and the upper support surface on which the wafer rests, as well as the exposed screws and devices fastening them and the ring seals contacting them, are formed of material on which tungsten either will not nucleate or will nucleate only in an unacceptably long nucleation time. Such materials may include aluminum oxide, boron nitride, polyimide and some forms of quartz. Furthermore, the lip ring and upper support surface of the susceptor are removable and replaceable, the

- 18 -

susceptor can be converted between non-selective applications.

In this selective tungsten deposition application, the process is preferably performed at pressures from 0.1-10.0 Torr and at temperatures from 250-400°C. At these lower pressures, the wafer may be held on the susceptor with electrostatic clamping, rather than a vacuum behind the wafer.

The apparatus of the present invention achieves the objectives set forth above and overcomes problems of the prior art. Used with blanket tungsten deposition processes, in excess of 50%  $WF_6$  consumption may be realized, and deposition rates several times higher than conventionally achieved can be obtained.

The present invention is particularly advantageous in enhancing the speed of application, quality and uniformity of CVD applied films onto silicon wafers by wafer temperature controlled processes, and to other temperature controlled silicon processing methods such as annealing. Many features of the present invention are advantageous in the CVD of blanket tungsten, selective tungsten and titanium nitride onto silicon semiconductor wafers, and to the CVD of other materials such as

- 19 -

tungsten silicide, tantalum oxide, aluminum and copper, as well as oxides such as  $\text{SiO}_2$ .

Many features of the present invention are useful with processing devices that do not include the rotating susceptor. The plasma cleaning features of the present invention provided advantages when used with the semiconductor wafer processing applications discussed herein, and other applications where deposits and contaminants tend to form. In addition, the features that confine the heat to the susceptor, and those that enhance the flow of gases in the reactor in a smooth and non-turbulent manner, have broad utility in semiconductor wafer processing.

These and other objectives and advantages of the present invention will be more readily apparent from the following detailed description of the drawings in which:

Brief Description of the Drawings:

Fig. 1 is an elevational view of a CVD module for a wafer processing cluster tool embodying principles of the present invention.

Fig. 2 is a cross-sectional view of a CVD reactor of the module of Fig. 1.

Fig. 3 is a cross-sectional view of the lower part of the reactor of Fig. 2 illustrating the susceptor rotation and wafer lifting portion.

- 20 -

Fig. 3A is a cross-sectional view taken along line 3A-3A of Fig. 3.

Fig. 4 is a cross-sectional view of the upper part of the reactor of Fig. 2 illustrating the processing chamber portion.

Fig. 4A is a cross-sectional view taken along line 4A-4A of Fig. 4.

Fig. 4B is a cross-sectional view taken along line 4B-4B of Fig. 4.

Fig. 4C is a cross-sectional view taken along line 4C-4C of Fig. 4.

Fig. 5 is an enlarged cross-sectional view of a part of the chamber of Fig. 4 illustrating the structure in association with the susceptor drive shaft in the vicinity of the base of the housing of the reaction chamber in one alternative embodiment.

Fig. 6 is an enlarged cross-sectional view of the susceptor within the reaction chamber of Fig. 4.

Fig. 6A is a cross-sectional view taken along line 6A-6A of Fig. 6.

Fig. 6B is an enlarged cross-sectional view, similar to Fig. 6, of the susceptor of an alternative embodiment of the invention more particularly suited for blanket tungsten deposition processes.

- 21 -

Fig. 6C is an enlarged cross-sectional view, similar to Fig. 6, of the susceptor of an alternative to the embodiment of Fig 6B.

5 Fig. 7 is a top view of the susceptor of Fig. 6B, but with the wafer removed.

Fig. 8 is a top view of the susceptor of Fig. 6C, but with the wafer removed.

Detailed Description of the Drawings:

10 Fig. 1 illustrates a CVD module 10 for a wafer processing cluster tool in which are embodied features of the present invention. The module 10 includes a frame 11 on a wheeled base 12, which has depending therefrom a set of adjustable feet 13 for leveling the module 10 and anchoring the module 10 to a floor. The module 10 includes a cabinet 14  
15 fixed to the frame 11 that contains flow controllers with connections for inlet lines for supplying reactant gases to a chemical vapor deposition (CVD) reactor 15, also fixed to the  
20 frame 11. The cabinet 14 has associated with it other parts of the reactor support system that are not shown, including fluid conduits, valves, pumps, controls, and associated hardware for the operation of the reactor 15 including the supplies and  
25 connections to supplies of the various reactant gases, inert gases, purging and cleaning gases, and cooling fluids for the reactor 15.

- 22 -

The reactant gases for the main CVD process to be performed with the reactor 15, in the preferred embodiment of the invention, are gases used for a blanket tungsten deposition process onto silicon semiconductor wafers and are supplied through lines 16, shown as four in number, connected between the cabinet 14 and the reactor 15. These gases include, for example, tungsten hexafluoride ( $WF_6$ ), hydrogen ( $H_2$ ), and silane ( $SiH_4$ ). The reactor is, however, also useful for titanium nitride films and for many other films that can be applied through a CVD process. Also supplied through one of the lines 16 may be inert gas such as argon. In addition, reactant gas for the plasma cleaning of the chamber 15, such as nitrogen trifluoride ( $NF_3$ ) gas, is supplied through a gas inlet line 17 connected between the cabinet 14 and the reactor 15. The module 10 also includes one or more vacuum pumps 18, and usually one high volume low vacuum pump and one low volume high vacuum pump, for evacuating the reactor 15, for maintaining a vacuum within the reactor 15 at the required operating pressure levels, and for exhausting unused reactant gas, reaction byproducts, cleaning gases and inert gases flowing through the reactor. A residual gas analyzer port

- 23 -

19 is provided for monitoring the constituents of the gas.

5           The reactor 15 includes a susceptor rotating and wafer elevating mechanism 20 depending from the bottom of the reactor 15. The main evacuation of the reactor 15 is accomplished through a vacuum outlet line 21 connected between the reactor 15 and the vacuum pump or pump assembly 18 while one or more auxiliary vacuum outlet lines 10       22 are provided, connected between the mechanism 20 and the pump assembly 18. A combined upper electrode electrical terminal and cooling fluid manifold connector 23 and a combined lower electrode electrical terminal and cleaning gas 15       connector 24 are also connected between the reactor 15 and the support systems in the vicinity of cabinet 14.

          Referring to Fig. 2, the CVD reactor 15 has sealed within it a reaction chamber 25 enclosed 20       in a housing 26 by which the reactor 15 is mounted through rubber vibration absorbing pads 29 to the frame 11 and from the bottom of which the mechanism 20 is supported. The housing 26 is preferably made of aluminum with a highly polished interior, and is 25       provided with independent temperature control, both for heating and cooling of the reactor wall, to produce what is sometimes generically referred to

- 24 -

as a cold wall reactor, as distinguished from an oven type reactor in which the susceptor is heated by radiant heat from a heated reactor wall. The housing 26 is preferably fluid cooled, by a  
5 suitable fluid such as ethylene glycol or water. In addition, resistance heating elements (not shown) are also provided in the housing 26 so that the housing may be heated, or, alternatively or in addition, rod type heating elements may be provided  
10 in the chamber at various locations. One or more of the heating or cooling features may be employed in the same structure, depending on its intended applications. The heating and cooling of the reactor wall may be zone controlled, and may have  
15 both the heating and cooling active simultaneously for more responsive temperature regulation and uniformity.

The housing 26 has, at the top thereof, a chamber cover 27, preferably also of aluminum,  
20 encloses the reaction chamber 25 within. The cover 27 is pneumatically sealed against the top of the housing 26, or spacers 199 if employed, and may be pneumatically held thereto or may be mechanically secured thereto by screws 28 or clamps. In Fig. 2,  
25 the screws 28 are shown securing spacers 199 to the top of the housing 26. The cover 27 has a reactant gas mixing chamber 30 surrounded by an annular

- 25 -

mixing chamber wall which may be formed integrally of the aluminum chamber cover 27 or of a separate material such as a machinable ceramic or separate aluminum or other metal piece and secured to the underside of the chamber cover 27. The mixing chamber wall 31 is capable of being actively cooled, where the process, for example a tungsten deposition process, so requires, by cooling fluid supplied to flow through an annular passage 32 formed in the wall 31 to maintain it at a temperature lower than the reaction temperature that is independent of that of the housing 26 and that of the chamber cover 27. Like the housing 26, the mixing chamber wall 31 is also provided with resistance heating elements (not shown) to heat the wall and the mixing chamber 30 where the process so requires, such as for titanium nitride deposition. This annular wall 31 may be made of a thermally nonconductive material or of a conductive material thermally insulated from the aluminum material of the cover 27 to provide greater flexibility in the control of its temperature. The upper portion of the mixing chamber 30 is closed by a removable cover or top plate 33, preferably of stainless steel, which is sealably connected to the chamber cover 27 by bolts 34 (Fig. 4). The chamber housing 26, chamber cover 27 and top plate 33 form a sealed

- 26 -

vessel enclosing an internal volume that is maintained at a vacuum pressure level during operation of the module 10.

The bottom of the gas mixing chamber 30 is closed by a circular showerhead 35 connected to the bottom of the mixing chamber wall 31. The showerhead 35 may be made of aluminum or of a machinable ceramic material and has a highly polished lower surface to retard the absorption of radiant heat from the higher reaction temperature from the area of a wafer being processed within the chamber 25. The showerhead 35 has, in one acceptable embodiment, a uniform pattern of holes 36 therethrough (Fig. 4), preferably arranged in a matrix or an array in plural concentric circles about the center thereof, which lies on a vertical axis 37 through the reactor 15. Alternatively, the showerhead 35 may be formed of a porous metal or ceramic plate.

A plurality of gas inlet ports 38 (Fig. 4) are provided in the top plate 33 to which the gas lines 16 are connected. A rotary wafer supporting susceptor 40 is provided within the chamber 25. The susceptor 40 lies on the axis 37 directly beneath the showerhead 35 and is in axial alignment therewith. A cleaning gas entry port 41 is mounted to the chamber cover 27 and is connected

- 27 -

to the cleaning gas input line 17. The RF upper electrode terminal and cooling water connector 23 is also mounted to the chamber cover 27. The lower electrode RF terminal and cleaning gas connector 24 are mounted to the side wall of the housing 26. A single vacuum outlet port 42 is provided in the bottom of the chamber housing 26 to which the vacuum outlet line 21 is connected to the pump 18, which operates at a pumping rate of from 400-500 liters per second to achieve the wafer processing pressures at between 1 and 100 Torr, reactor cleaning pressures of from 0.1 to 100 mTorr, and wafer transfer pressures of  $10^{-4}$  Torr within the chamber 25. A gate port 43 is provided in the forward wall of the housing 26 for connection to a transport module or wafer handling module of a cluster tool, to and from which wafers are loaded and unloaded of chambers 25 for processing. The gate 43 is approximately in horizontal alignment with an upwardly facing wafer supporting top surface 44 of the susceptor 40 whereupon a wafer is supported for processing with its upwardly facing side disposed horizontally parallel to and in vertical alignment with the showerhead 35. A plurality of ports 45 are provided in horizontal alignment with the wafer support surface 44 or the housing 26 on opposite sides of the reaction

- 28 -

chamber 25 for inserting diagnostic or other instrumentation.

Fixed to the bottom of the housing 26 and aligned with the reactor axis 37 is a susceptor drive support frame 47. Rotatably mounted within the drive support frame 47 is a hollow susceptor drive shaft 50. The drive shaft 50 is mounted to rotate on its axis, which is on the reactor axis 37, extends through a hole 51 in the bottom of the reactor housing 26, and is rigidly connected to the bottom of the susceptor 40. At the hole 51, the shaft 50 is rotatably supported on a main bearing 52 having its inner race surrounding the shaft 50 in tight contact therewith and its outer race fixed to the frame 47 at the bottom of the housing 26. A secondary bearing 53, connected to the lower end of the frame 47, tightly surrounds and supports the lower end of the drive shaft 50. Secured to the support frame 47 immediately below the bearing 52 and tightly surrounding the shaft 50 is a ferrofluidic seal 54. The ferrofluidic seal 54 has fluid circulated through it at a temperature of less than 70°C to prevent the ferrofluid within it from decomposing and losing its magnetic properties due to heat from the shaft 50. Above the secondary bearing 53 within the frame 47 and also surrounding the shaft 50 is an electrical slip ring connector

- 29 -

55. The slip ring 55 provides electrical connection with the rotating shaft 50 to supply electrical energy to the rotating susceptor and receives sensed temperature signals therefrom.

5 Fixed to the shaft 50 between the seal 54 and the slip ring 55 is a drive pulley 56 which is drivably connected through a drive belt 57 with the output of a susceptor rotation drive motor 58.

At the lower end of the rotating and  
10 elevating mechanism 20, fixed to the bottom of the frame 47, is a wafer lift mechanism 60, illustrated in more detail in Fig. 3. The lift mechanism 60 includes an outer fluid-tight shell 61 with a hollow interior enclosing the lower end of a hollow  
15 and vertical lift tube 62. The tube 62 extends vertically from the lift mechanism 60 up through the frame 47 and through the hollow interior of the drive shaft 50, along the axis of the reactor, and into the chamber 25,  
20 terminating in the interior of the susceptor 40. The tube 62 rotates with the drive shaft 50 and slides axially therein a distance of approximately nine millimeters to raise and lower a wafer on the wafer support surface 44 of the susceptor 40 in the  
25 reaction chamber 25. The lower end of the tube 62 is fixed to a hub piece 63 and rotatably supported in a ferrofluidic seal 64, the outer surface of

- 30 -

which is fixed in a sleeve 65 which is vertically  
slidable in the shell 61. The lower end of the  
sleeve 65 is linked to a vertical actuator 66  
extending through a hole 67 in the bottom of the  
5 shell 61 of a linear action pneumatic lift 66a.  
Another ferrofluidic seal 68 is provided near the  
upper portion of the interior of the shell 61  
surrounding the tube 62 on the axis 37 adjacent the  
bottom of the frame 47 of the rotating and  
10 elevating mechanism 20. As with the ferrofluidic  
seal 54, the seals 64 and 68 are supplied with  
fluid that is maintained at a temperature of 70°C  
or less.

A source of helium gas (not shown) is  
15 connected to a helium gas inlet port 70 at the  
bottom of the shell 61 of the lift mechanism 60.  
The inlet port 70 communicates with a helium inlet  
channel 71 at the base of the hub piece 63, which  
communicates through the hollow interior thereof  
20 with an axial bore 72 of the tube 62, extending the  
length thereof, to communicate with the channel  
176.

A vacuum outlet port 74 is provided in  
the shell 61 and connects with an elongated hollow  
25 tube 73 to apply vacuum in a hollow space 75 within  
the drive shaft 50 at the upper end thereof  
surrounding the tube 62, as illustrated in Fig. 3A.

- 31 -

The hollow space 75 extends the length of the drive shaft 50 and also communicates with the interior of the susceptor 40 within the reaction chamber 25.

In one embodiment, described in more detail in connection with Fig. 6B below, the vacuum pressure at the port 74 is maintained at a pressure

sufficiently lower than that of the chamber 25 to develop a vacuum in the susceptor 40 to operate as a vacuum chuck to hold a wafer against the

susceptor surface 44 during processing. This vacuum clamping pressure is communicated between the vacuum port 74 and the space 75 at the top of the drive shaft 50 through an annular column 79 that surrounds the tube 62 and lies within the tube

73. In other embodiments that do not use vacuum clamping, the vacuum at port 74 is maintained at a pressure that will develop a vacuum in the susceptor 40 that is equal to or slightly greater than the pressure in the chamber 25. In this way, the entry of reactant gases into the susceptor prevented, as with the embodiment of Fig. 6, described in more detail below.

The details of the reaction chamber portion of the CVD reactor are illustrated in Fig. 4. The gas mixing chamber 30 is provided with four concentric hollow tubular rings 77, one connected to each of the inlet ports 38, as

- 32 -

illustrated in Figs. 4 and 4A. Each of the rings 77 has a plurality of holes 76 spaced along them and around the axis 37 to allow a uniformly distributed flow of each of the gases from the inlet ports 38 and into the gas mixing chamber 30, where they are uniformly mixed, usually at sub-reaction temperature. From the gas mixing chamber 30, the uniformly mixed gas from the various inlet ports 38 flows downwardly through the plurality of holes 36 in the showerhead plate 35 parallel to the axis 37 and perpendicular to the wafer support surface 44 of the susceptor 40 as represented by arrows 78.

Surrounding the showerhead 35 is an annular plasma cleaning electrode 80 mounted on a insulator 81, of teflon or other suitable insulating material, secured to the aluminum chamber cover 27. This electrode is energized to generate a plasma for plasma cleaning of the chamber. The electrode 80 has an inner surface 82, which is frustoconical in shape and angled to present a smooth transition from the diameter of the showerhead 35 to the larger diameter of the chamber housing 26 to prevent turbulence in the downwardly flowing reactant gases. A plurality of gas inlet orifices 83 are provided around the surface 82 and communicate with a cleaning gas

- 33 -

passage 84, which is annular in shape, within the electrode 80. The passage 84 communicates with a supply tube 85, which connects to the gas inlet 41 to which the cleaning gas inlet line 17 is connected.

5 An annular cooling passage 87 communicates through a tube 88 with a cooling liquid and upper electrode connector 23 (which contains both feed and return lines, which are not shown, for the cooling liquid). Radio frequency energy (RF) is fed to the electrode 80 through the tube 88 from the connector 23. Cooling liquid such as ethylene glycol or water is separately provided through cooling liquid inlet and return ports 89 to the cooling passage 32 in the mixing chamber wall 31.

10 A lower plasma cleaning electrode 90 is provided at the base of the chamber 25 mounted to the chamber housing 26 on an electrical insulator 91, also of a suitable insulating material. The electrode 90 is in the shape of an annular ring which serves as a gas flow baffle between the processing portion of the chamber 25 and a vacuum outlet port 42, as illustrated in Figs. 4, 4B and 4C. The electrode 90 defines an annular gas flow opening 92 between the electrode 90 and a sleeve 93 fixed to the base of the housing 26 and surrounding

- 34 -

the susceptor drive shaft 50 through which the cleaning gas and cleaning by-products pass downwardly as they are exhausted from the chamber 25. Openings 94, provided around the top of the electrode 90, communicate with an annular passage 95 in the electrode 90, which in turn communicates with another cleaning gas supply tube 96, which in turn communicates with a cleaning gas inlet port 97 in the lower electrode terminal and cleaning gas connector 24. The electrode 90 is electrically connected to a power supply (not shown) that supplies RF energy through the tube 96 to the lower electrode 90 from the lower electrode terminal and connector 24. Cleaning gas for plasma cleaning of the interior of the internal components of the chamber 25, such as  $\text{NF}_3$  gas, enters through the openings 83 and 94 in the respective electrodes 80 and 90 and exhausts through the port 42.

Two additional aluminum baffles 101 and 102 are provided between the electrode 90 and the base of the housing 26. The baffles 101 and 102 are stacked vertically on spacers 104 at the base of the housing 26 and secured thereto by a plurality of bolts 105. The upper one of these baffles 101 is disc shaped and extends from the sleeve 93 outwardly defining a space 106 annularly around the side wall of the housing 26. The lower

- 35 -

one of these baffles 102 is also disc shaped and extends from the side wall of the housing 26 inwardly to define a space 107 annularly around the sleeve 93.

5                   The susceptor 40 has an exterior side surface 110 which is smoothly contoured to minimize turbulence in the flow of reacting gases within the chamber 25. The surface 110, at its widest part, defines a space or opening between the susceptor 40  
10                   and the side wall of the chamber housing 26. The horizontal cross-sectional area of the opening 111 is greater than that of the opening or space 92 defined by the electrode 90, which is in turn greater than the horizontal area of the space 106  
15                   defined by the baffle 101, which is in turn greater than the horizontal cross-sectional area of the opening 107 defined by the baffle 102. The ratio of these areas provides a pressure gradient when the reacting gas is flowing through the chamber 25, which minimizes turbulence and provides for the  
20                   uniformity around the susceptor 40 of the gas flow through the chamber 25 to the single vacuum outlet port 42. This flow is illustrated by the arrows 112, 113, 114 and 115.

25                   In certain situations, it may be desirable to incorporate an alternative structure to enhance the reliability and extend the life of

- 36 -

the main bearing 52, as for example, where the life of the seal shortens time between scheduled reactor maintenance. Such an alternative to the structure at the point where the shaft 50 passes through the base of the housing 26 is illustrated in Fig. 5.

In this alternative, the base of the housing 26 is provided a nitrogen gas inlet port 117 and nitrogen gas vacuum outlet port 118 (broken line) through

which nitrogen gas is caused to flow in a space 120 between the susceptor drive shaft 50 and the sleeve 93 as illustrated in Fig. 5. Nitrogen gas flowing

in through the port 117 is injected into an annular passage 121 surrounding the shaft 50 at the base of the sleeve 93, flows in the direction of the arrow 122 into an annular passage 123 above the passage 121 in the sleeve 93 and then out the port 118.

Similarly, nitrogen gas flows into the third annular space 124, above the passage 123, from the port 117. Part of the gas from the passage 124

flows in the direction of the arrow 125 to the passage 123 and out the port 118 while a portion of the gas from the passage 124 flows in the direction of the arrow 126 into the space 120 and then in the direction of the arrow 127 into the reaction

chamber approximately in the vicinity of the space or opening 92 around the outer edge of the lower cleaning electrode 90. This outward flow of

- 37 -

nitrogen gas in the vicinity of the arrow 127 prevents the entry of the reactant gases into the space 120 during the reaction process. It also prevents particles and other contamination from entering the space 127.

5 The susceptor 40 is illustrated in two embodiments in Figs. 6 and 6B. Each of these embodiments includes some of the alternative features that may be desirable depending on the application. Fig. 6A is a cross-sectional view that shows the placement of features that appear in one or both of the embodiments of Figs. 6 or 6B. The susceptor 40 of the embodiment of Fig. 6 utilizes electrostatic wafer clamping, an electrically insulating wafer supporting surface, an insulating ring around the outer edge of the wafer support surface, RTDs for temperature sensing in the wafer support, an inert purge gas around the rim of the wafer, and an inert gas within the susceptor at a pressure at or slightly greater than the pressure within the chamber 25. Many of the features of the embodiment of Fig. 6 are more suitable for selective deposition of certain materials such as tungsten, as explained below.

10 15 20 25 The susceptor 40 of the embodiment of Fig. 6B utilizes vacuum wafer clamping, a metallic wafer support and a metallic scavenger ring around

- 38 -

the outside of the wafer support, thermocouple temperature sensing in the wafer support, and an inert gas within the susceptor at a pressure less than that of the chamber 25. Many of the features of the embodiment of Fig. 6B are suitable for blanket deposition of materials such as titanium nitride and tungsten, also as explained below.

The susceptor 40 of Figs. 6, 6A and 6B is provided with a thin outer metal wall 130 which is mounted by bolts 131 to the top of the drive shaft 50, as is better illustrated in Fig. 6, which illustrates the susceptor structure of one embodiment. The wall 130 is of a high heat conductive material, for example a metal such as aluminum, and has a thin cross-section to minimize the flow of heat from the upper portion of the susceptor to the shaft 50. The wall 130, which has as its outer surface the surface 110 of the susceptor 40, has a highly polished reflective inner surface 132 to reflect, and thus minimize the absorption of, heat from the downward facing surface 129 of the heated upper portion of the susceptor 40 and through the hollow inner space 135 of the susceptor 40. The outer surface 110 is provided with a dull finish to maximize heat radiation from the wall 130.

- 39 -

At the base of the susceptor wall 130, formed integrally therewith, is a downwardly extending collar 136 which surrounds the shaft 50 and is spaced therefrom to leave a small cylindrical gap 137 between the collar 136 and the shaft 50 to reduce direct heat conduction from the wall 130 to the shaft 50. Projecting inwardly from the collar 136 and formed integrally with the wall 130 is a susceptor mounting flange 138. At the upper end of the shaft 50 is an annular upwardly projecting shoulder 140 on which the flange 138, and thus the wall 130, is supported to thereby support the susceptor 140 for rotation with the shaft 50. The shoulder 140 presents a small contact area with the flange 138 to minimize thermal contact therebetween and minimize heat transfer from the susceptor wall 130 to the shaft 50. The upwardly extending shoulder 140 defines small gap 141 between the top of the shaft 50 and the flange 138 to further reduce direct heat conduction between the inner portion of the flange 138 and the top of the shaft 50.

Through the disc 142 extend bolts 131, which are threaded into the top of the shaft 50. In the embodiment of Fig. 6, the flange 138 has an upwardly extending shoulders 143 formed thereon to space the disc 142 from the flange 138, to present

- 40 -

minimal contact area therebetween to reduce thermal conduction, and to define a further gap 144 between the flange 138 and wall 130 and the disc 142.

These shoulders 143 are omitted from the

5 alternative embodiment of Fig. 6B. Additional thermal insulation between the susceptor 40 and the shaft 50 may be achieved, if necessary, by

providing a layer of insulating material, such as insulating washers or spacers, between the flange  
10 138 and the shaft 50. A seal 145 (shown as an O-ring in Fig. 6 and as a soft metal seal in Fig. 6A) is provided in an annular space 146 formed around the outside of the upper end of the shaft 50 between the shaft 50 and the collar 136 and flange  
15 138 of the susceptor wall 130. A plurality of holes 147 is provided through the disc 142 to communicate between hollow space 75 within the shaft 50 and the space 135 within the susceptor 40 to provide for the maintenance of a vacuum within  
20 the space 135 at approximately 10 Torr.

Projecting upwardly from the top of the disc 142 on the axis 37 is a vertical hub portion 149 which has an interior hole through which the top end of the hollow lift rod 62 extends.

25 The upper portion of the susceptor 40 includes a wafer support structure 150 formed of a

- 41 -

pair of discs including an upper disc 151 and a lower disc 152.

5 In this embodiment of Fig. 6, the lower disc 152 is supported at its outer edge on an inwardly extending support flange 153 formed integrally of the susceptor wall 130 and having an annular channel 154 on the upper surface thereof which contains a seal 155 to isolate an annular space 156, formed between the outer rim of the disc 10 152 and the wall 130, and the space 135. In this embodiment, the space 156 is a helium distribution channel which communicates through a circumferentially spaced set of ducts 157 with helium supply tubes 158 which extend radially from and mechanically connect to the top end of the tube 15 62 above the top surface of the hub 149. With this arrangement, helium gas is caused to flow upwardly through the tube 62 and outwardly through the tubes 158 and up through the ducts 157 and into the channel 156. The tubes 158 have flexible mid sections 159 to permit vertical movement of the lift rod 62 with respect to the wall 130 while the outer ends of the tubes 158 are stationary with respect to the flange 153. This helium gas is 20 maintained at a separately regulated pressure to produce a pressure that is equal to or very slightly greater, at the gap 166, than the pressure

25

- 42 -

of the reactant gases flowing immediately above the gap 166.

Also in the embodiment of Fig. 6, the upper disc 151 is supported on the top of the lower disc 152 and has an upper wafer supporting surface 160 thereon, which forms part of the upper susceptor surface 44, the remainder of which is formed by an upper surface 161 of an annular susceptor lip piece 162. As illustrated in Figs. 4B and 6, the lip piece 162 is bolted to the top of the susceptor wall 130 by bolts 163. The lip 162 is shaped such that its upper surface 161 lies in the same horizontal plane as the upper surface 164 of a wafer 165 when the wafer 165 is supported on the surface 160. A small annular gap 166 around the perimeter of the wafer 165 provides sufficient clearance between the lip 162 and the wafer 165 to allow for thermal expansion of the wafer and for wafer diameter tolerances. The relationship of the lip 162 to the wafer 165 thus avoids turbulence in the flow of gas across the surface of the wafer 165 and the upper surface 44 of the susceptor 40.

In the embodiment of Fig. 6, the disc 151 rests on a seal 171 in a channel 172 in the top of the lower disc 152, and a further seal 173 is provided in a channel 174 at the top end of the

- 43 -

susceptor wall 130 between the lip 162 and the  
susceptor wall 130.

In the susceptor of the embodiment of  
Fig. 6, through the upper portion of the susceptor  
wall 130 and the lip 162 is a circular array of  
5 ducts 175 which communicate between the helium  
channel 156 and a peripheral channel 176  
surrounding the upper disc 151 beneath the lip 162.  
This provides a path for helium gas to flow from  
10 the annular space or channel 156, ducts 175,  
channel 176 and outwardly through the gap 166  
around the perimeter of the wafer 165 to prevent  
the flow of reactant gases downwardly into the gap  
166 around the perimeter of the wafer 165 and to  
15 provide for smooth flow of the reactant gas  
outwardly across the surface 164 of the wafer 165  
and the surface 161 of the lip 162. The outer edge  
178 of the lip 162 is rounded to further avoid  
turbulence as the gas flows around the edge of the  
20 susceptor 40.

For uses such as blanket deposition of  
tungsten, the support structure 150 and the lip 162  
are preferably made of Monel, which resists  
sputtering during plasma cleaning with  $\text{NF}_3$  better  
25 than do some other metals. In such processes, the  
lip 162 serves as a scavenger for unused reactant  
gases. For selective deposition processes, the

- 44 -

disc 151 and the lip 162 are made of a material on which the tungsten to be deposited will not nucleate, as nucleation of the material on the susceptor surfaces adjacent the wafer causes film to deposit on the wafer in blanket fashion near such surfaces.

The susceptor of the embodiment of Fig. 6 includes features suitable for the selective tungsten deposition process. The discs 151 and 152 of this embodiment are made of an insulating material such as graphite, to both prevent nucleation onto the support and to support a charge for electrostatic clamping of the wafer to the susceptor. For selective deposition, because it is frequently desirable to operate the process at pressures of 1 Torr or less, vacuum clamping will not be effective. The absence of vacuum clamping also renders the edge purging feature provided by injecting helium into the cavity 176 more effective, as this feature, without elaborate sealing techniques, may cause helium gas to flow below the wafer and destroy the pressure differential needed for vacuum clamping of the wafer, or may have the counterproductive effect of facilitating the flow of reactant gas beneath the wafer and into the space 135 within the susceptor.

- 45 -

In the embodiment of Fig. 6, a plurality of preferably three lift pins 184 are provided, each slidable in holes 181 through the disks 151 and 152 of the susceptor 40. The holes 181 are no  
5 larger than necessary to allow the pins 184 to slide, and to otherwise minimize flow of gas through the holes 181 between the back of the wafer and the space 135 within the susceptor.

The features of the susceptor of the  
10 embodiment of Fig. 6B are more suitable for the deposition of blanket tungsten, and accordingly, the remainder of the structure of the susceptor 40 will be described in connection with the embodiment of this figure.

15 Referring to Fig. 6B, the discs 151 and 152 may be made of a material such as Monel. Within the disc 151 may alternatively be provided ducts 180 (shown by phantom lines), if desired, to distribute helium gas across the back surface of  
20 the wafer 165 for thermal gas conduction between the disc 151 and the wafer 165. These ducts 180 are remote from the edge space 166 around the rim of the wafer 165 so that the flow of reactant gas into the space behind the wafer is not enhanced  
25 thereby.

The ducts 180 are in the form of grooves in the upper surface of the disk 150 as illustrated

- 46 -

in Fig. 7. They include three concentric circular grooves 180a, 180b and 180c interconnected by three sets of radial grooves spaced 120° apart, including grooves 181a, which interconnect on the axis of the  
5       susceptor 37 and extend to the innermost circular groove 180a, radial grooves 181b which interconnect circular groove 180a, the intermediate one of the circular grooves 180b and a respective one of the holes 182, and radial grooves 181c, which  
10       interconnect circular groove 180b with the outermost circular groove 180c.

Gas at the backside of the wafer 165 is maintained at a pressure lower than in the reaction chamber 25 by way of oversize vertical holes 182  
15       that, unlike the embodiment of Fig. 6, fit loosely around the lift pins 184 and thus communicate between the back of the wafer 165 and the space 135 within the susceptor 40 to provide for vacuum clamping of the wafer 165 to the surface 160. The  
20       helium gas that fills the space 135 is helium that is maintained at a separately regulated pressure through the openings 147 in the disc 142 that communicate between the space 135 and the space 75 at the top of the drive shaft 50. Helium is  
25       supplied into the space 135, in the embodiment of Fig. 6B, through the short tube 158a. The vacuum clamping pressure may be maintained in the space

- 47 -

135 at approximately 10 Torr where reaction pressure in the reaction space of the chamber 25 above the wafer 165, for blanket tungsten CVD processes, is at approximately 50 to 60 Torr.

5           With processes such as selective tungsten CVD, which may be performed at 0.1 to 5.0 Torr, rather than vacuum clamping of the wafer, other clamping means such as electrostatic clamping, as illustrated in the Fig. 6 embodiment, may be  
10           preferable, but some helium should still be provided behind the wafer, at or very slightly above the reaction chamber pressure to enhance heat transfer between the wafer and the disk 151.

          In the embodiment of Fig. 6B, the upper  
15           disk 151 extends over the top of the susceptor wall 130 and is bolted directly thereto by recessed bolts 168, compressing a flat soft metallic seal 169 between the disk 151 and the susceptor wall 130. An alternate form 170 of the lip 162 is fastened by  
20           countersunk screws to the top of disk 151, covering the screws 168 and mounted flush so as to form a continuous surface with the top surface 164 of the wafer 44 and the outer surface 110 of the susceptor wall 130. This form of lip 170 is most suitable  
25           when it is made of a metallic material such as Monel.

- 48 -

In the susceptor of both the embodiments of Figs. 6 and 6B, mounted to the top of the lift rod 62 above the tubes 158 and directly above the hub or bushing 149 of the disc 142 is a horizontal table 183 which moves upward and downward with the lift rod 62. Extending upwardly from the periphery of the table 183 through the holes 181 (Fig. 6) or 182 (Fig. 6B) is a plurality of preferably three lift pins 184 which, when elevated, will contact the underside of the wafer 165 to lift it from the surface 160 or lower into the holes 181 or 182 (the position illustrated in Figs. 6 and 6B) to lower the wafer 165 onto the surface 160. At the upward position of the table 183, the wafer 165 will be in position for transfer into and out of the chamber through the gate port 43 (Fig. 4), and at the downward position of the table 183 at which the wafer 165 is lowered onto the surface 160, the wafer is in position for processing.

Also in the susceptor of both the embodiments of Figs. 6 and 6B, there is mounted between the discs 151 and 152 a resistance heater 185 which includes a central circular element 186, an intermediate annular element 187 and an outer annular element 188, each providing a plurality of separately controllable heater zones at various radii on the wafer support 150. In the embodiment

- 49 -

of Fig. 6, each of the zones is provided with a temperature sensing element 191, 192 and 193 of the RTD or thermocouple type respectively corresponding to the areas heated by the elements 186, 187 and 188. Each of the elements is provided with a spring loaded electrical contact assembly 195 (only one of which is shown in Fig. 6 with respect the intermediate element 187). Two contacts are provided for each of the heating elements 186, 187 and 188. Electrical conductors 198 for these elements and for the sensors 191, 192 and 193 extend downwardly through the shaft 50 to make electrical connection through the slip ring 55 (Fig. 2) with power supplies and control circuits.

In the embodiment of Fig. 6B, three temperature sensing elements 189 of the thermocouple type (only one of which is shown in Fig. 6B, extend through holes in the heaters 186, 187 and 188 to recesses in the backside of disc 151, one directly overlying each of the heater zones. Readings from these thermocouples are fed back to a heater controller (not shown) to maintain uniform temperature on the disk 151. Each of the thermocouples 189 connects to the controller through a wire in the shaft 50 at a bracket mounted connector 190 on the wall 130 and in the space 135. The electric connectors to the heater terminals are

- 50 -

illustrated in the Fig. 6B embodiment in their preferred form 196, recessed into the lower surface 129 of the lower plate 152 and connected to the leads 198 with ceramic screws 194.

5                   The entire assembly as shown in Figs. 6 and 6B, with the exception of the sleeve 93 rotates at from 500 to 1500 rpm to minimize the thickness of the boundary layer above the surface 164, enabling the process gas to reach the wafer faster and the byproducts from the CVD process to escape  
10                   more readily from the wafer surface 164. The flow is illustrated by the arrows 78 and 197 in Fig. 4. Such flow forms a stagnation point at the center 198 on the axis 37 as it intersects the surface 164  
15                   of the wafer 165. The lip 162 is provided with the surface 161 of a substantial upwardly facing area to serve as a scavenger, when made of a material on which tungsten nucleates and used in a blanket deposition process, for unused reactant gases such  
20                   as tungsten hexafluoride, thereby minimizing the amount of tungsten hexafluoride being exhausted from the chamber 25. This lip 162 is removable and replaceable with a lip having an inwardly extending portion 167 having a different inside diameter to  
25                   thereby accommodate wafers 165 of differing sizes.

Fig. 6C illustrates a further alternative embodiment to the susceptor alternatives in Figs.

- 51 -

6, 6B and 6C. The embodiment of Fig. 6C is similar in most respects to that of Fig. 6B, with some modifications, and also includes a modified form of the wafer edge purge feature of the embodiment of Fig. 6. As shown in Fig. 8, the embodiment of Fig. 6C includes the grooves 180a, 180b, 181a and 181b of Fig. 6B. However, the grooves 181c are eliminated, and the groove 180c functionally replaces the annular groove or channel 176 of Fig. 6, but at a position inboard of the edge or rim of the wafer 165. This groove 181c, in the embodiment of Fig. 6C, is connected to a separately regulated source of gas from the bore 72 of the tube 62 by the tubes 158, which respectively communicate through a pair of rigid tubes 158a with a respective pair of ports 158b, carried by bored plugs, with a respective pair of radially oriented ports 158c. This gas is supplied at a pressure of slightly greater than the pressure in the chamber 25, for example from approximately 0.5 to 1.0 Torr greater, which is lower than the pressure in the grooves 180a, 180b, 181a and 181b of typically 10 Torr. The gas may be an inert gas such as helium, or a reactive gas that will clean deposits from the CVD process that might form in the space 166 between the wafer 165 and the lip 162 or 170, such as  $\text{NF}_3$  in the case where tungsten is being applied.

- 52 -

Additionally, optimal reactant gas flow on the surface of the wafer is achieved by varying the spacing between the gas showerhead 35 and the susceptor 40. Provision for this is made by  
5 addition of one or more spacer rings, such as spacer ring 199, between the top edge of the reactor housing 26 and the chamber cover 27 (Fig. 2).

The operation of the module 10 described  
10 above for the blanket and selective deposition of tungsten onto semiconductor silicon wafers is described in detail in the copending and commonly assigned patent application Robert F. Foster and  
Helen E. Rebenne entitled METHODS OF CHEMICAL VAPOR  
15 DEPOSITION (CVD) OF FILMS ON PATTERNED WAFER SUBSTRATES filed on even date herewith, hereby expressly incorporated herein by reference.

While the above described embodiments of the invention relate to processors of the CVD type,  
20 the rotating disk susceptor, gas flow, temperature maintenance and other features of the invention are useful in connection with other types of processes, especially where rapid and uniform transporting of vapor substances to and from the wafer surface is  
25 desired. For example, in connection with the deposition of titanium nitride films, a degassing process is preferably performed in a separate

- 53 -

module prior to the TiN deposition. In such a process, water that has been absorbed into the wafer, as, for example, might have been absorbed into a phosphosilicate glass (PSG) or borophosphosilicate glass (BPSG) film deposited onto the wafer prior to the TiN process, is removed by heating the wafer. Also, subsequent to a TiN film deposition, chlorine may be removed by an annealing process in a separate module. In such processes, a separate dedicated processing module as described above may be used with, for example, argon or nitrogen gas in the performance of a preheating or degassing process, while another dedicated similar module may be used with, for example, ammonia in the performance of an annealing process. In both applications, such a module will function the same as the CVD module described above except that, instead of material being added to the substrate as is done in a CVD process, material is removed from the substrate. The benefits of the rotating disk and other features of the invention nonetheless apply to such processes. These benefits include a uniform boundary layer that is thinned by rotation of the susceptor, which in turn results in a faster water or chlorine removal rate and a more uniform removal rate across the surface of the wafer. Further, the radially outward flow

- 54 -

of gas contributes to the flushing of the water, chlorine or other substance away from the surface of the wafer, enhancing the efficiency of the removal. This prevents desorbed material from  
5 being redeposited onto the surface of the wafer.

In applying principles of the invention to degas and anneal modules, not all of the structure desirable for CVD applications in the above described embodiments is necessary. For  
10 example, the RF cleaning electrodes 80 and 90 may be eliminated, as well as the power connections and supplies powering them. Further, only one baffle at the bottom of the chamber 25 is usually  
sufficient. The number of gas supplies and  
15 associated equipment may, of course, be limited to that needed for the application. Further, since such processes are basically heat treating processes, the chamber housing 26 is preferably insulated from the outside.

20 In order to achieve optimum processing uniformity with the rotating susceptor described in embodiments above, the process should be operated under conditions dictated by the rotation rate. In CVD applications, this optimization will achieve  
25 the highest deposition rate and reactant conversion without sacrificing film uniformity or properties. To produce these conditions, the total mass flow

- 55 -

rate of gas flowing radially outward on the  
susceptor surface is matched by an equal mass flow  
rate of gas flowing along the axis from the  
showerhead toward and against the susceptor  
5 surface. The downward flow rate is furnished and  
controlled by the rate of injection of the inlet  
gas. If the inlet gas flow rate is too small, the  
susceptor becomes starved for fluid, while if the  
inlet gas flow rate is too high, fluid backs up  
10 near the susceptor surface. In either case, the  
velocity profile will not be of the proper shape to  
give a uniform boundary layer thickness near the  
susceptor surface and hence the benefits of  
rotation will not be fully realized. At a given  
15 temperature, pressure, inlet gas composition, and  
susceptor rotation rate, one inlet gas flow rate or  
a narrow range of inlet gas flow rates gives  
optimum operation. This flow rate is commonly  
referred to as the "matched flow rate" for the  
20 given set of conditions. They may be determined  
theoretically or by experimentation for each  
process and each reactor, and preferably, first  
theoretically and then verified or fine tuned  
experimentally. For blanket and selective tungsten  
25 CVD, an inlet gas flow rate will fall generally  
within the range of from 0.5 slpm to 5.0 slpm for  
the temperatures, pressures, gas composition and

- 56 -

rotational speeds discussed above. For example, for blanket tungsten deposition, 0.1 slpm of  $WF_6$  and 2.0 slpm for  $H_2$ , for a total flow of 2.1 slpm, has been found preferable for 425°C, 80 Torr and 750 RPM. For selective tungsten CVD, 0.1 slpm of  $SiH_4$ , 0.15 slpm for  $WF_6$  and 2.75 slpm for  $H_2$ , for a total flow of 3.0 slpm, has been found preferable for 280°C, 5 Torr, and 250 RPM. Generally, flow rate must be increased when temperature, rotational speed or viscosity are increased, or when pressure is decreased, when the other parameters are held constant.

While the above detailed description sets forth a preferred embodiment of the invention, it will be apparent to those skilled in the art that variations and modifications can be made without departing from the principles of the invention. The principles of the present invention include several concepts most useful for CVD, and useful for other wafer processing applications, particularly those in which material is to be transferred from a gas to a wafer, or from a wafer to a gas. Various details of the reactor of the described embodiments may be modified in design, and may be combined in the same structure. For example, the lower plasma electrode has been described and combined with structure constituting

- 57 -

a baffle. Similarly, the upper plasma electrode, while provided in separate structure in the preferred embodiment, may be combined with or incorporated into the showerhead. Accordingly, the subject matter of the invention is intended to be limited only by the following claims:

5

- 58 -

1. An apparatus for processing semiconductor wafers comprising:

5 a sealed vessel enclosing an interior volume, the vessel having exhaust means connected to one end of the volume for maintaining the volume at a vacuum pressure level;

10 a susceptor supported on an axis in a processing space in the interior volume of the vessel, the susceptor having a wafer supporting surface thereon oriented perpendicular to the axis;

gas introduction means disposed at one end of the volume opposite the susceptor from the exhaust means;

15 means for supplying at least one processing gas to the gas introduction means;

20 the introduction means disposed parallel to the wafer supporting surface and generally centered on the axis and spaced from the wafer supporting surface for directing a flow of the processing gas from the introduction means into the processing space parallel to the axis, toward and perpendicular to the wafer supporting surface of the susceptor;

25 means carried by the susceptor for holding the wafer to the supporting surface for processing with a surface thereof to be coated

- 59 -

centered thereon and facing the introduction means;  
and

30                    surface means distributed uniformly  
around the axis for directing the gas in a smooth  
non-turbulent flow from the introducing means,  
across the wafer and past the susceptor to the  
exhaust means.

- 60 -

2. The apparatus of claim 1 wherein the exhaust means includes an exhaust port, and the apparatus further comprises:

5       baffle means surrounding the axis and axially positioned between the wafer supporting surface of the susceptor and the exhaust means for facilitating the exhausting of gas through the exhaust port without creating turbulence inside the processing space.

3. The apparatus of claim 2 wherein:

      the baffle means comprises a plurality of axially spaced baffles each defining an annular passage around the axis.

4. The apparatus of claim 3 wherein:

      the passages have cross-sectional areas that decrease with their proximity to the exhaust port.

- 61 -

5. The apparatus of claim 4 wherein:  
the processing space is bounded by a  
vessel housing and the susceptor has outer wall  
means having a smooth exterior shape contoured for  
so as to reduce turbulence in the flow of gas in  
the processing space, the wall means of the  
susceptor being spaced from the housing to form a  
passage therebetween that has a cross-sectional  
area greater than those of the passages.

6. The apparatus of claim 1 wherein:  
the apparatus is a CVD reactor and the  
processing gas includes at least one reactant gas;  
the susceptor has an annular lip  
surrounding the wafer support surface and having an  
inside opening dimensioned to be closely spaced to  
a circular outer edge of a wafer supported on the  
wafer support surface, the lip having outwardly  
facing surface means positioned to be flush with  
the surface of the wafer for reducing turbulence  
and radial thermal gradients in the wafer near the  
edge thereof;

the surface means of the lip has an area  
sufficiently substantial to serve as a scavenger  
for unused reactant gas and is of a material on  
which the reactant gas will nucleate to deposit a  
coating thereon, thereby reducing the amount of

- 62 -

reactant flowing beyond the lip into the reaction space.

7. The apparatus of claim 1 wherein:

the apparatus is a CVD reactor and the processing gas includes at least one reactant gas;

the susceptor has an annular lip

5 surrounding the wafer support surface and having an inside opening dimensioned to be closely spaced to a circular outer edge of a wafer supported on the wafer support surface, the lip having outwardly facing surface means positioned to be flush with  
10 the surface of the wafer for reducing turbulence and radial thermal gradients in the wafer near the edge thereof; and

the apparatus further comprises means for introducing non-reactive gas between the lip and  
15 the edge of the wafer so as to prevent flow of reactant gas from the wafer between the lip and the edge of the wafer, to thereby reduce deposition on the edge and bottom margin on the wafer.

8. The apparatus of claim 7 wherein:

the non-reactive gas introducing means includes a supply of helium gas.

- 63 -

9. The apparatus of claim 1 wherein:  
the wafer support is upwardly facing, the  
introducing means is downwardly facing for  
directing the flow of processing gas downward from  
5 the introducing means into the processing space  
from above, and the wafer supporting surface is  
upwardly facing.

10. The apparatus of claim 9 further  
comprising:

the apparatus is a CVD reactor and the  
processing gas includes at least one reactant gas;

5 the wafer holding means includes means  
for causing the non-reacting gas to flow between  
the wafer and the wafer supporting surface and for  
maintaining a vacuum pressure between the wafer and  
the wafer supporting surface that is below the  
10 pressure in the reaction space, to facilitate the  
holding of the wafer to the susceptor;

the non-reacting gas between the wafer  
and the wafer supporting surface being maintained  
at a pressure sufficient to provide heat transfer  
15 by gas conduction between the wafer and the wafer  
support surface; and

the pressure of the non-reacting gas  
between the wafer and the wafer supporting surface  
being maintained at a pressure of from

- 64 -

20 approximately at least 1 Torr and not more than is effective to conduct heat between the wafer and the supporting surface by gas conduction.

11. The apparatus of claim ? wherein:  
the apparatus is a CVD reactor and the processing gas includes at least one reactant gas;  
the susceptor has an annular lip  
5 surrounding the wafer support surface and having an inside opening dimensioned to be closely spaced to a circular outer edge of a wafer supported on the wafer support surface, the lip having face means aligned to be flush with the surface of the wafer  
10 for reducing turbulence and radial thermal gradients in the wafer near the edge thereof; and  
the lip and the wafer supporting surface are formed of a material on which the reactant gas will not nucleate for deposition of a coating  
15 thereon, to thereby facilitate selective deposition of the coating on the wafer.

12. The apparatus of claim 1 wherein:  
the susceptor has smoothly contoured  
outer wall means having an exterior shape for  
minimizing turbulence in the flow of gas in the  
5 processing space.

- 65 -

13. The apparatus of claim 1 wherein:

the susceptor has an annular lip  
surrounding the wafer support surface and having an  
inside opening dimensioned to be closely spaced to  
5 a circular outer edge of a wafer supported on the  
wafer support surface, the lip having outer surface  
means positioned to be flush with the surface of  
the wafer for reducing turbulence and radial  
thermal gradients in the wafer near the edge  
10 thereof.

14. The apparatus of claim 16 wherein:

the lip has a rounded circular outer rim  
means for reducing turbulence in the processing  
space.

15. The apparatus of claim 16 wherein

the lip is removeably attached to the susceptor.

- 66 -

16. The apparatus of claim 1 wherein:

the susceptor has a first annular lip  
surrounding the wafer support surface and having an  
inside opening dimensioned to be closely spaced to  
5 a circular outer edge of a wafer of a first size  
supported on the wafer support surface, the lip  
having outer surface means positioned to be flush  
with the surface of the wafer for reducing  
turbulence and radial thermal gradients in the  
10 wafer near the edge thereof;

the apparatus further comprises a second  
and alternative lip having an inside opening  
dimensioned to be closely spaced to a circular  
outer edge of a wafer of a second size that differs  
15 from the first size so that the susceptor will  
accommodate wafers of different sizes; and

each lip being removeably and  
interchangeably attachable to the susceptor.

- 67 -

17. The apparatus of claim 1 further comprising:

a source of non-reacting gas;

5 the wafer holding means includes means for causing the non-reacting gas to flow from the source thereof and between the wafer and the wafer supporting surface and for maintaining a vacuum pressure between the wafer and the wafer supporting surface that is below the pressure in the reaction  
10 space, to facilitate the holding of the wafer to the susceptor.

18. The apparatus of claim 20 wherein:

the non-reacting gas between the wafer and the wafer supporting surface is maintained at a pressure sufficient to provide heat transfer by gas  
5 conduction between the wafer and the wafer support surface.

19. The apparatus of claim 1 further comprising:

means for providing non-reacting gas between the wafer and the wafer supporting surface  
5 at a pressure sufficient to provide heat transfer by gas conduction between the wafer and the wafer support surface.

- 68 -

20. An apparatus for processing semiconductor wafers comprising:

a sealed vessel having a sealed housing enclosing an interior volume, the vessel having exhaust means connected to one end of the volume for maintaining the volume at a vacuum pressure level, the housing including a susceptor mount;

a susceptor supported on a mounting in a processing space in the interior volume of the vessel, the susceptor having a wafer supporting surface thereon;

means for heating a wafer held on the supporting surface to a processing temperature; and

means for inhibiting the flow of heat between the susceptor and the housing.

- 69 -

21. The apparatus of claim ? wherein:

the susceptor has a hollow interior  
bounded by a susceptor wall the inside of which has  
highly reflective surface means thereon for  
5 reducing heat transfer to the wafer supporting  
surface of the susceptor and to the susceptor  
mount.

22. The apparatus of claim ? wherein:

the susceptor has an interior bounded by  
a susceptor wall the outside of which has low  
reflectivity surface means thereon to increase  
5 radiation of heat away from the susceptor, thereby  
reducing heat transfer between the wafer supporting  
surface and the mount.

23. The apparatus of claim ? wherein:

the susceptor has a hollow interior  
bounded by thin susceptor wall means for reducing  
heat transfer between the wafer supporting surface  
5 and the mount.

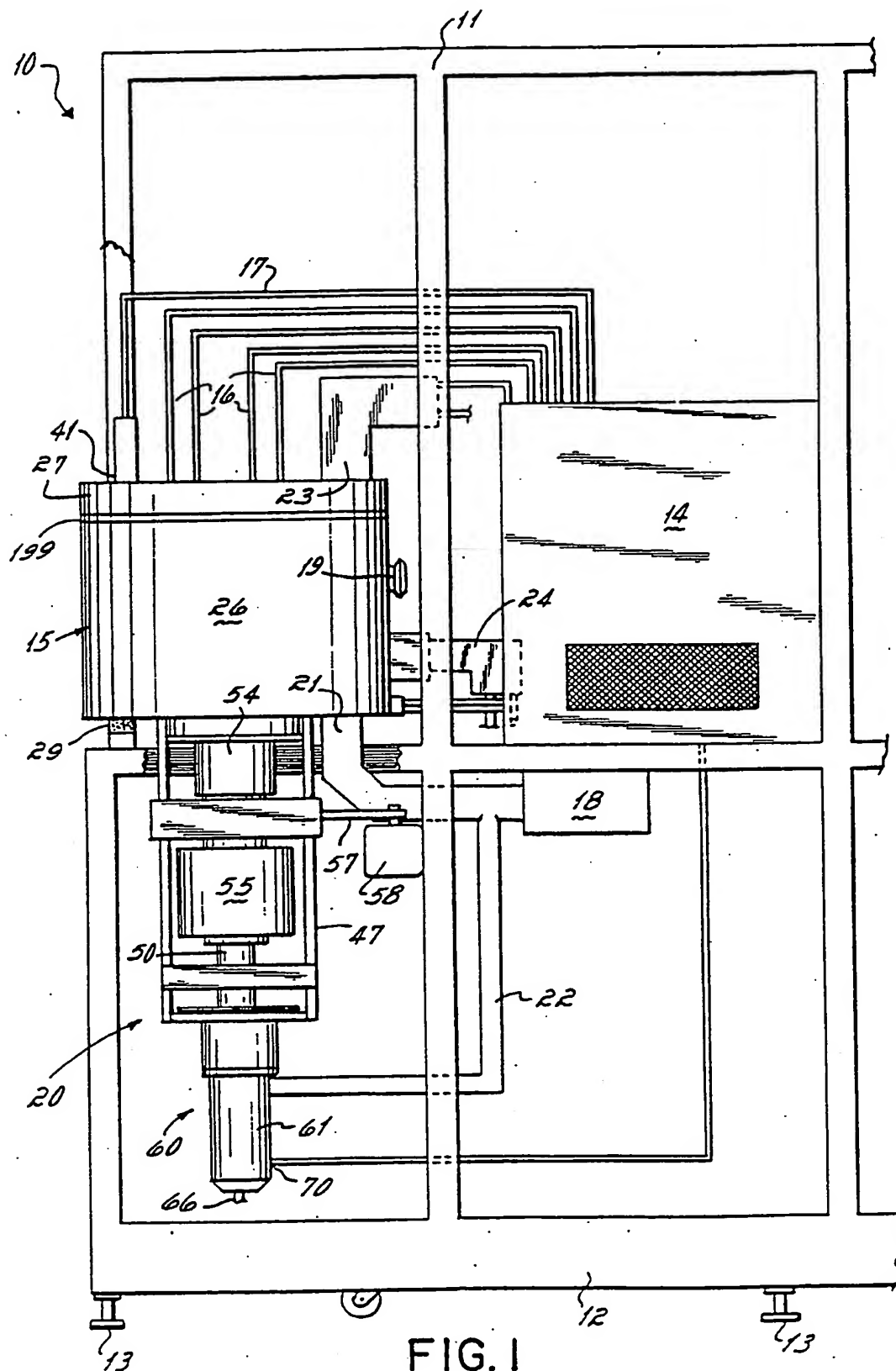
- 70 -

24. The apparatus of claim ? further comprising:

low thermal conductivity mounting means between the susceptor and the mount for securing the susceptor to the mount and for providing a thermal block to reduce heat transfer between the wafer supporting surface and the mount.

25. The apparatus of claim 26 wherein:

the susceptor has a hollow interior bounded by a susceptor wall, and wherein the mount includes first mounting structure on the susceptor wall and second mounting structure secured to the mount, the first and second mounting structure being in contact with each other, and the second mounting structure having a reduced cross-sectional area presenting a small thermal contact surface at the interface of the first and second mounting structure.



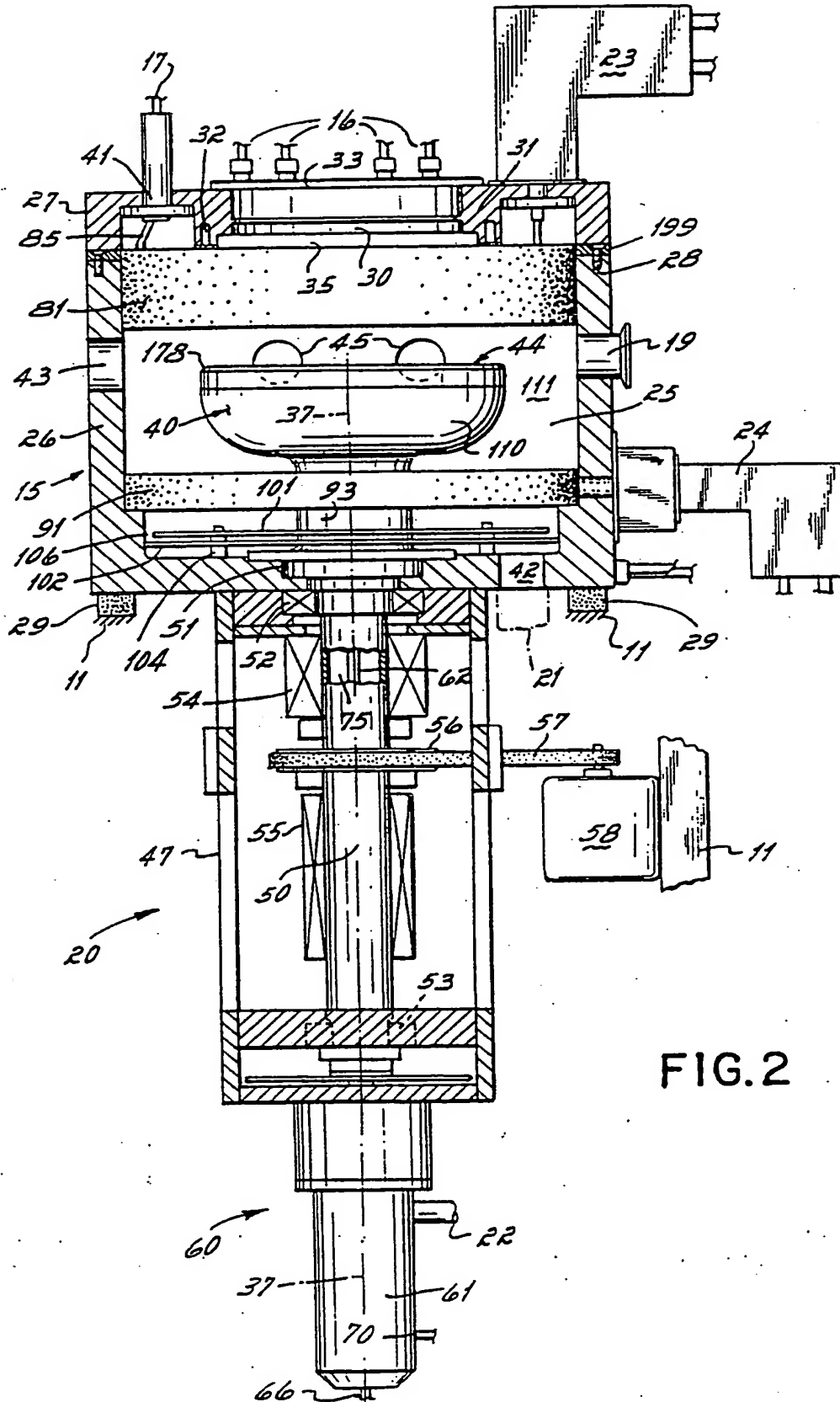


FIG. 2

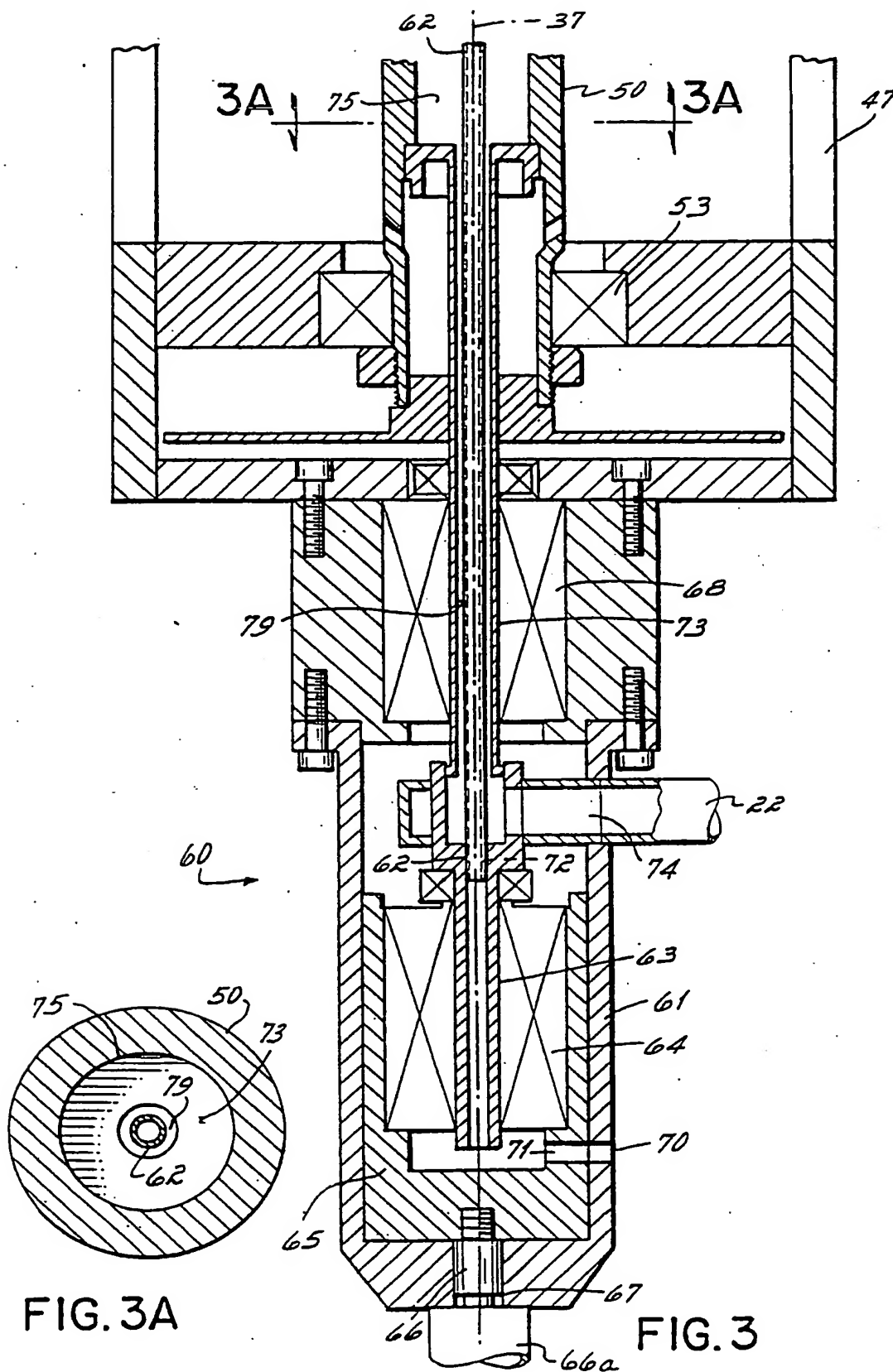
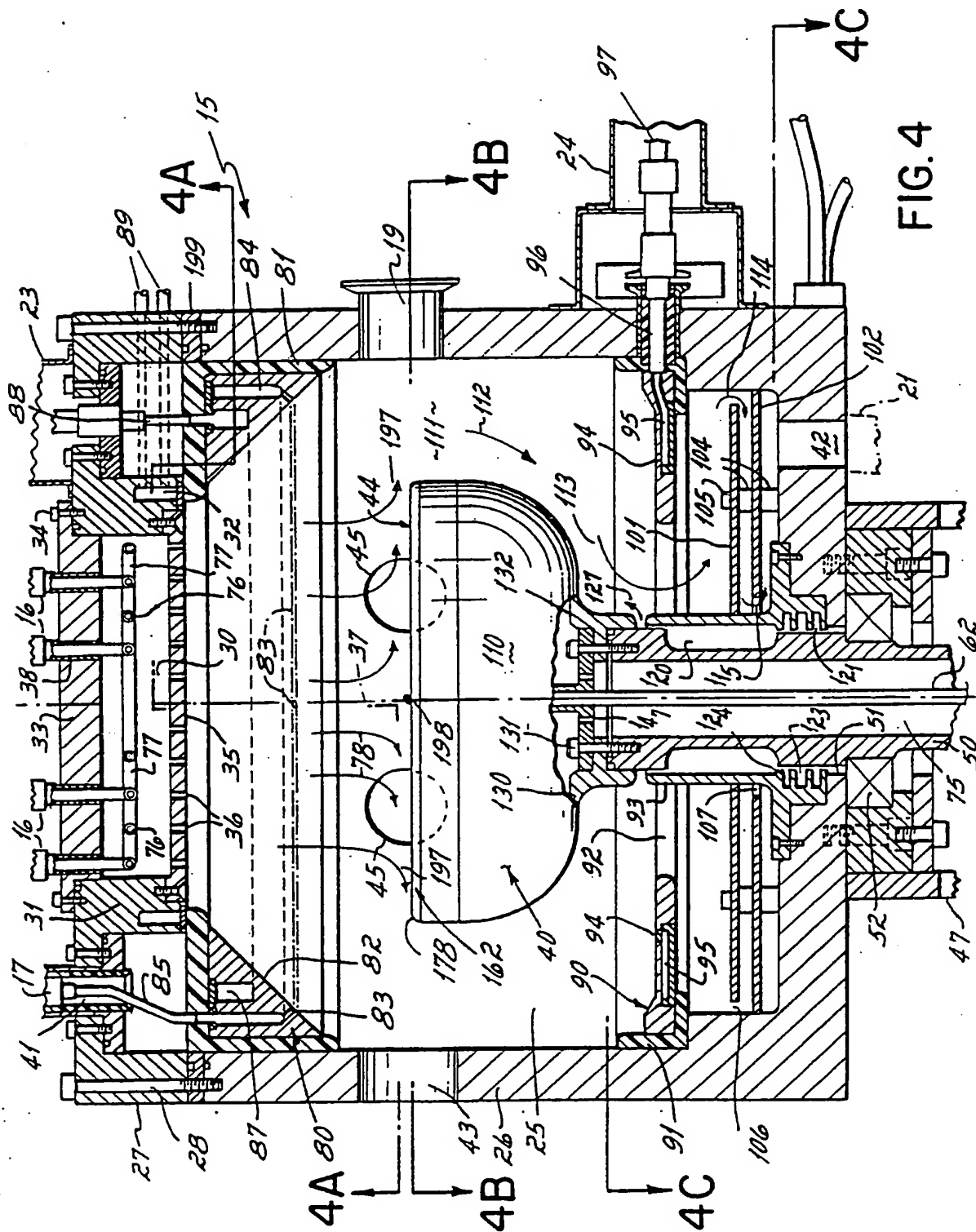


FIG. 3A

FIG. 3



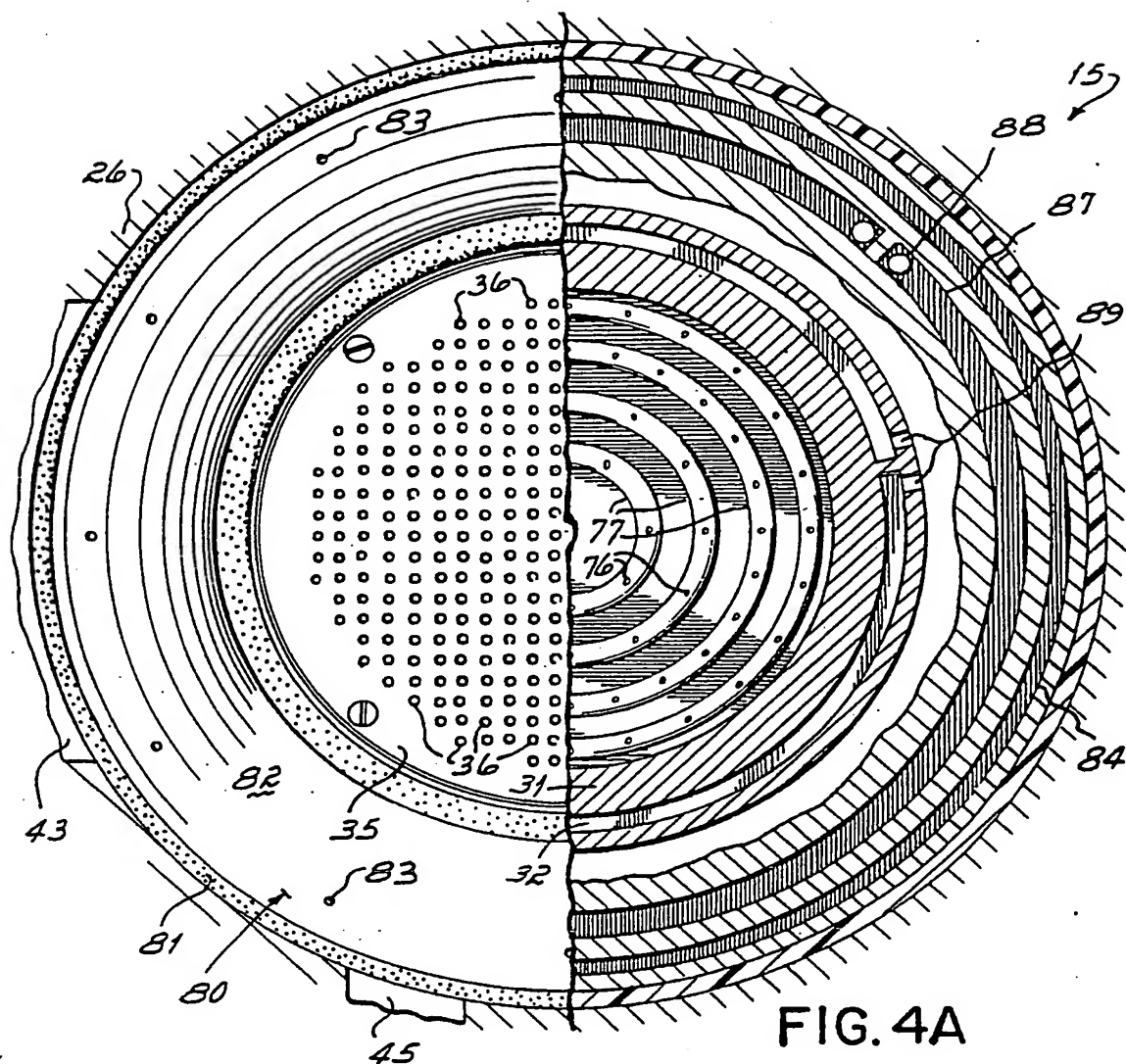


FIG. 4A

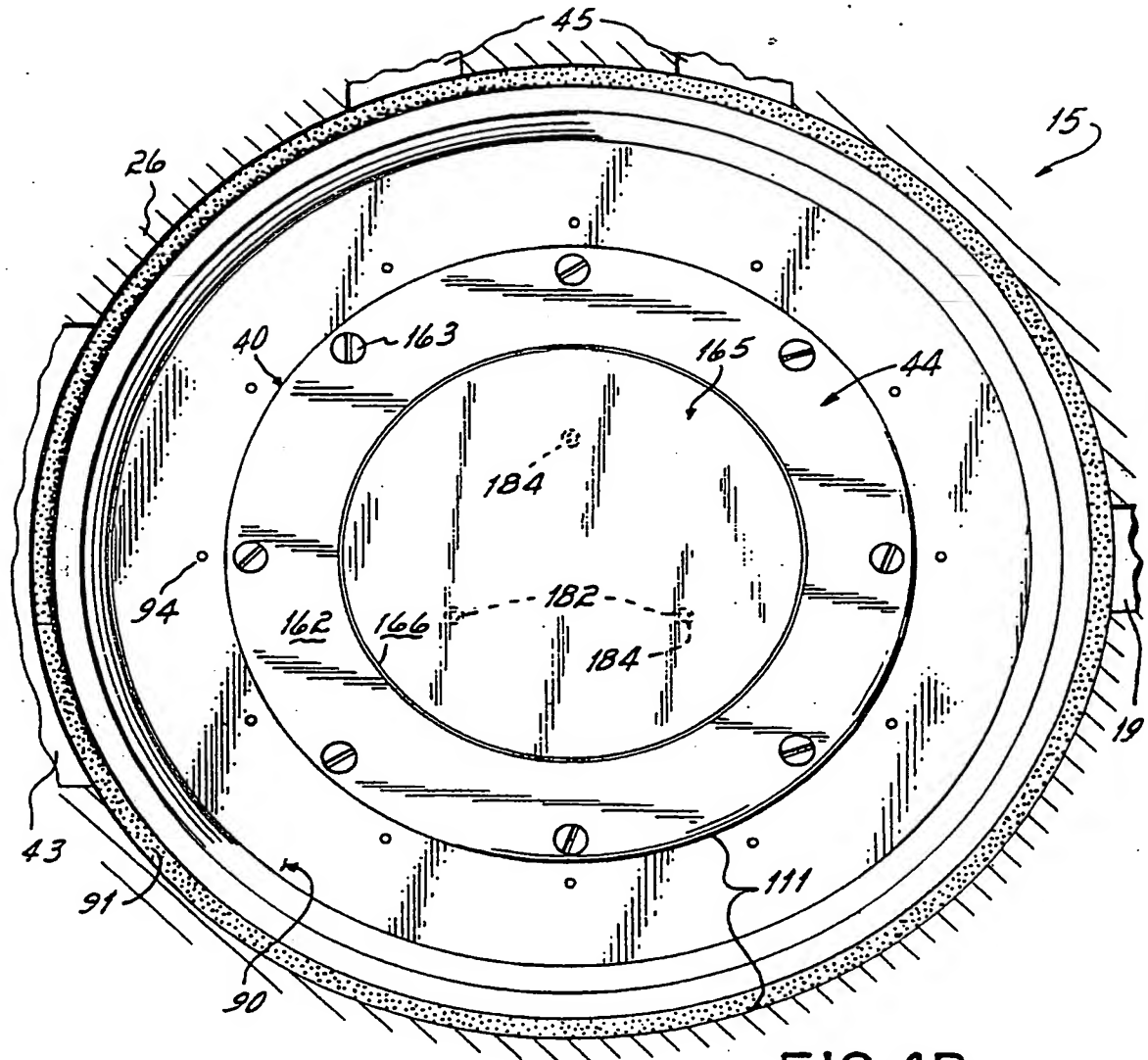


FIG. 4B

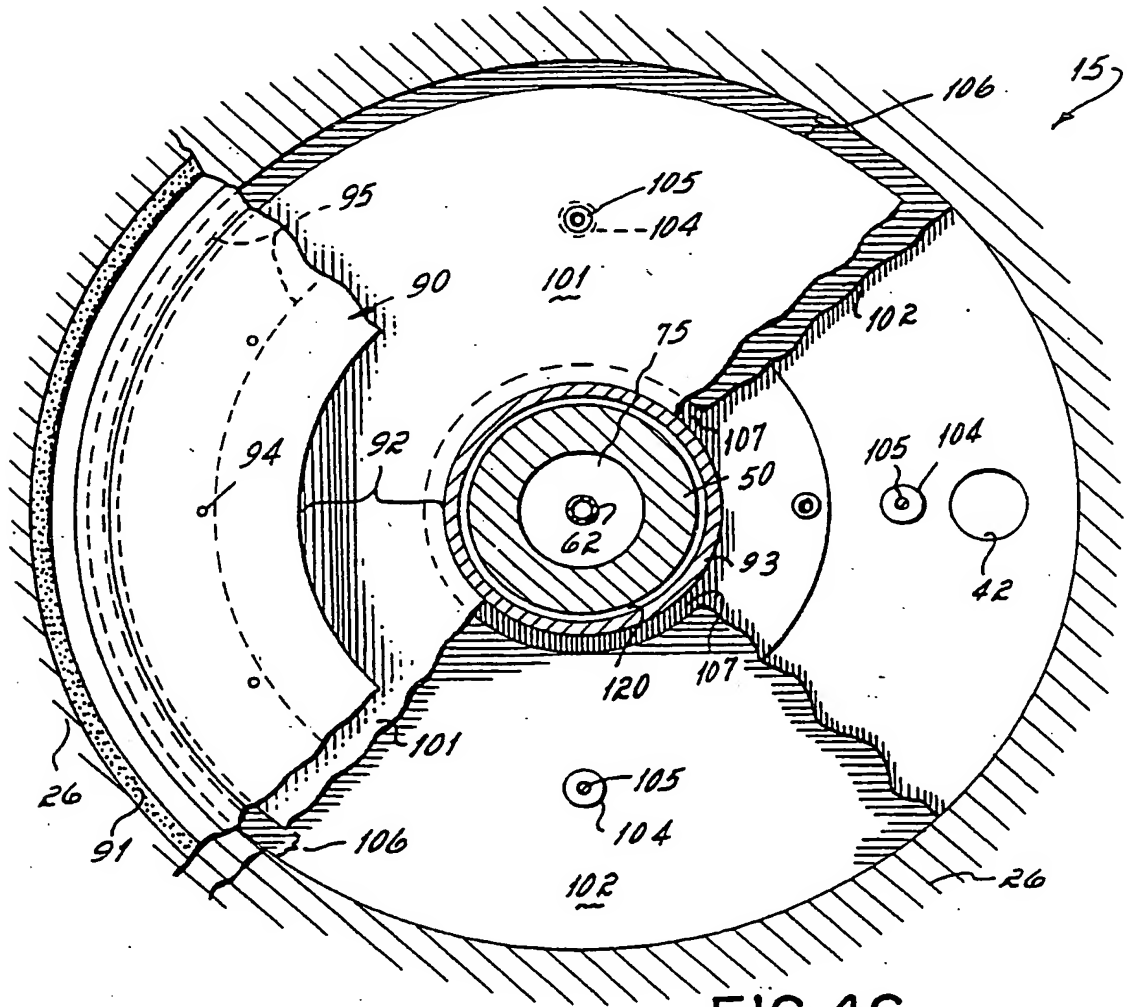


FIG. 4C



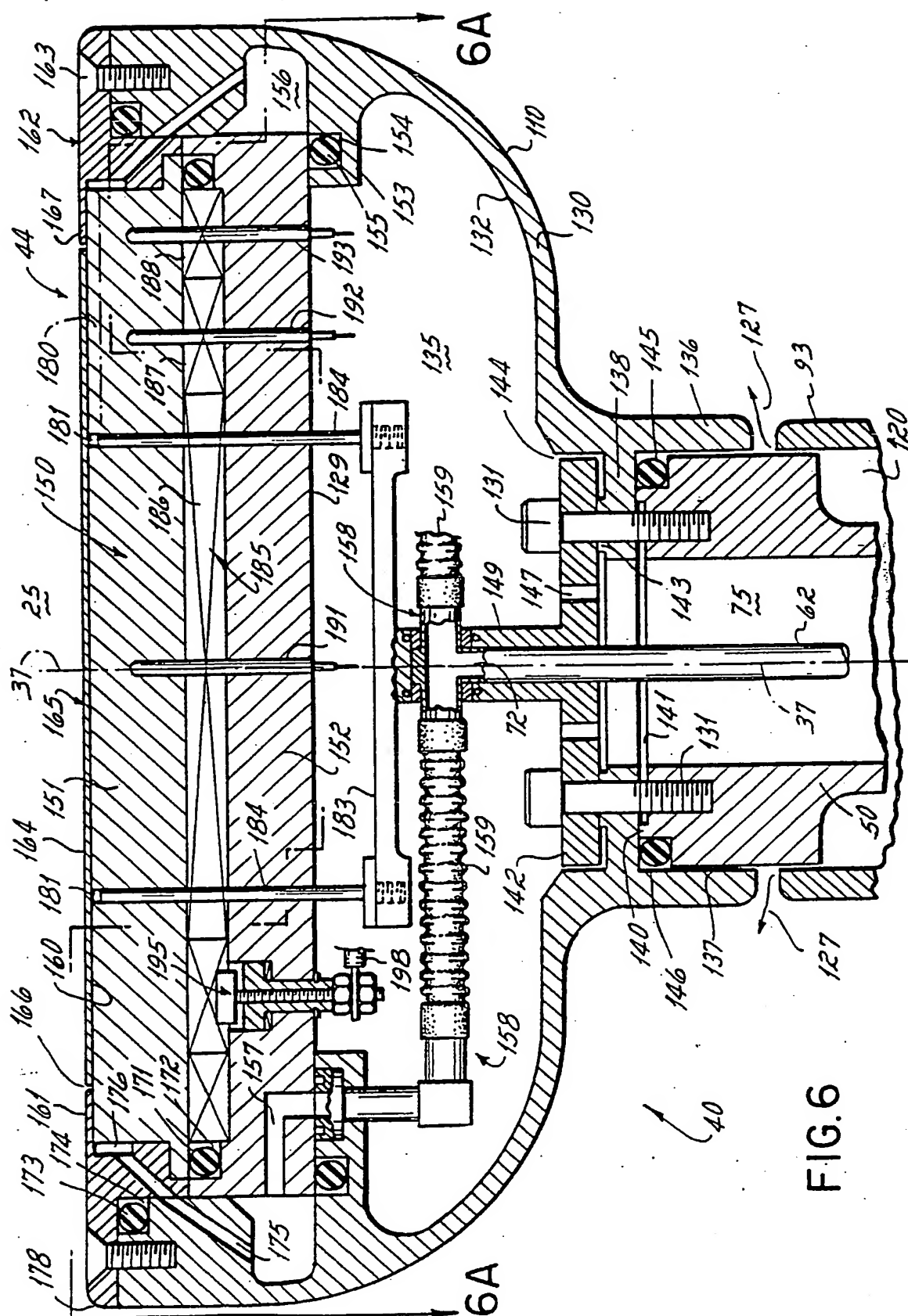


Fig. 6

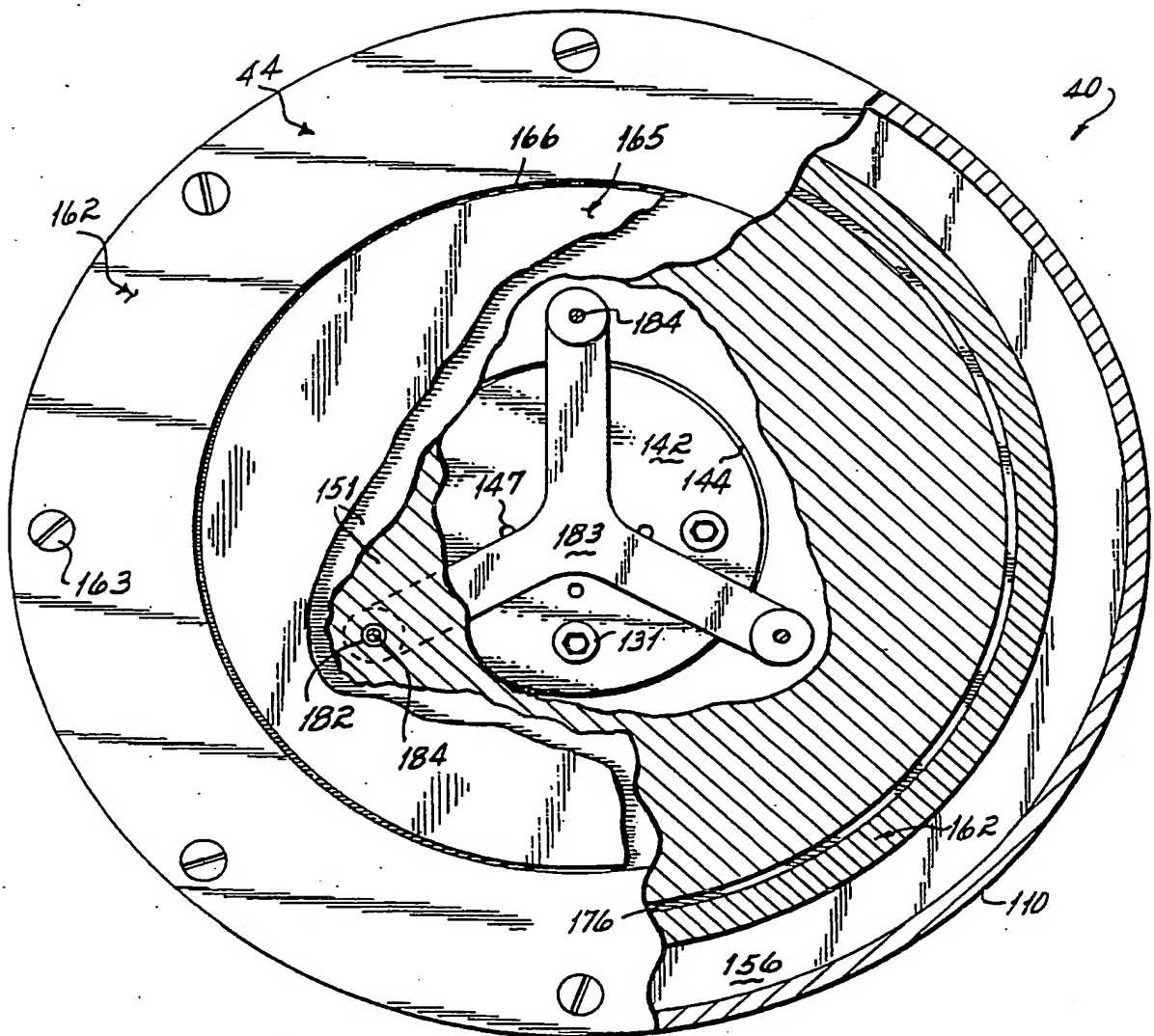


FIG. 6A

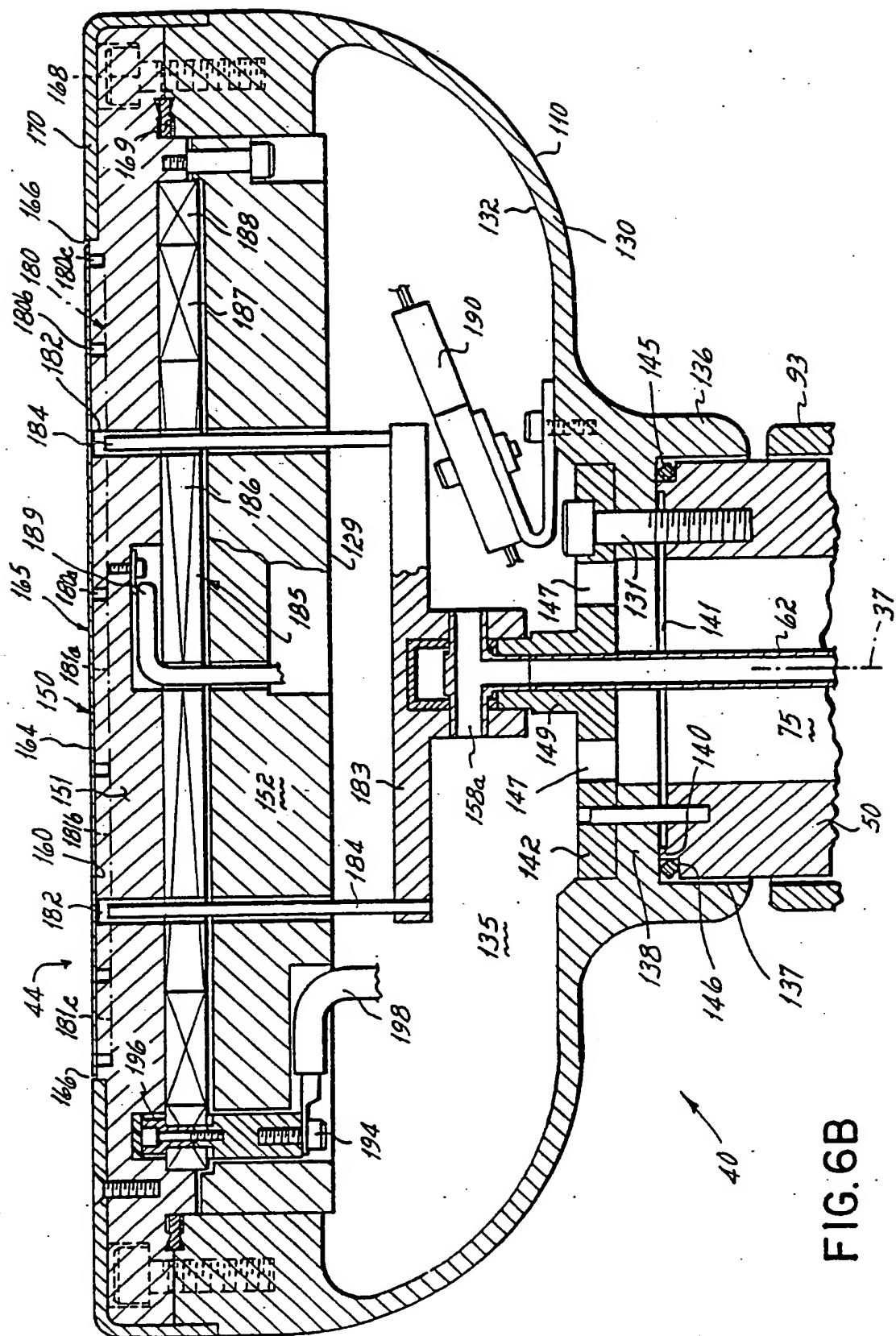


FIG. 6B

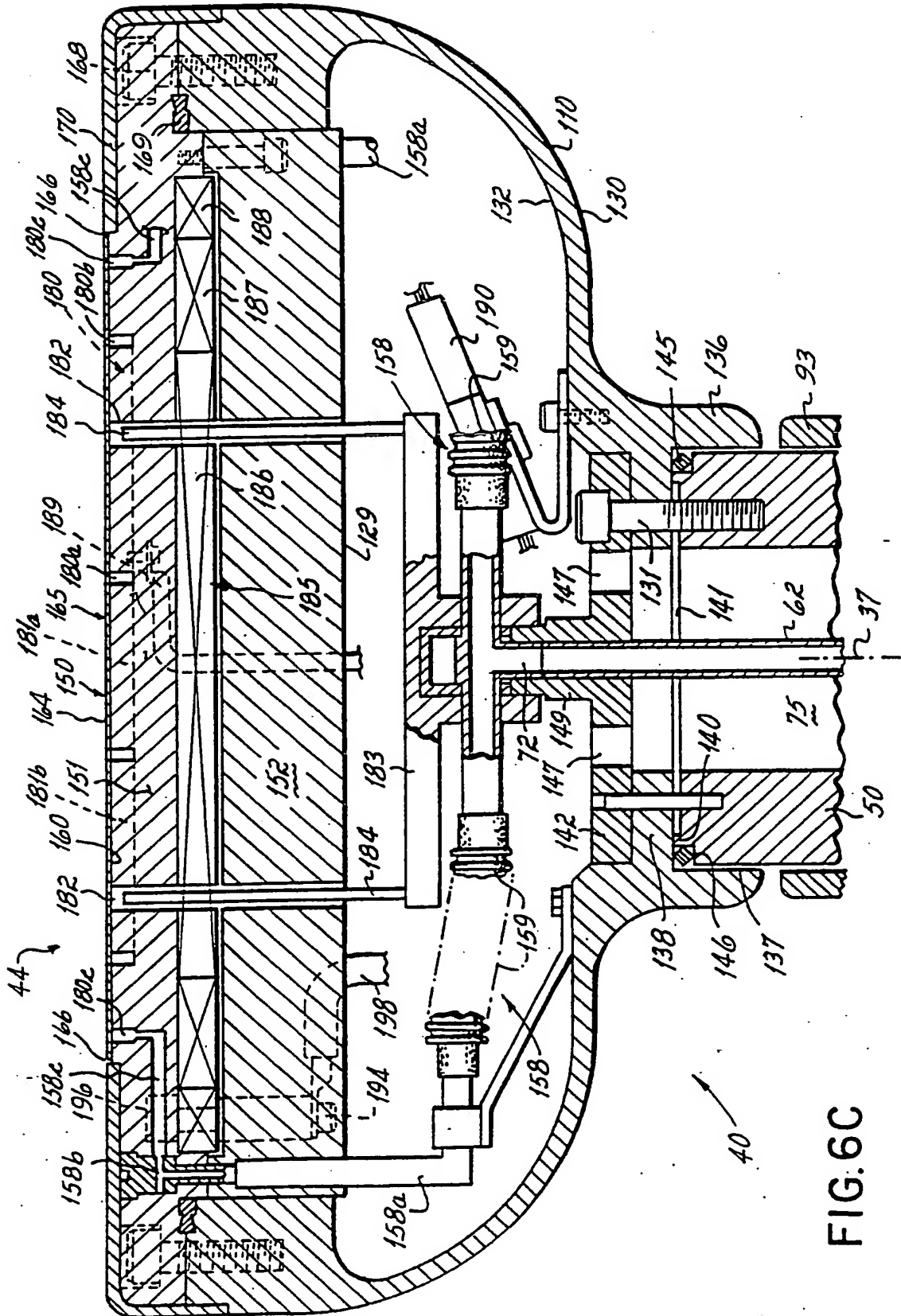
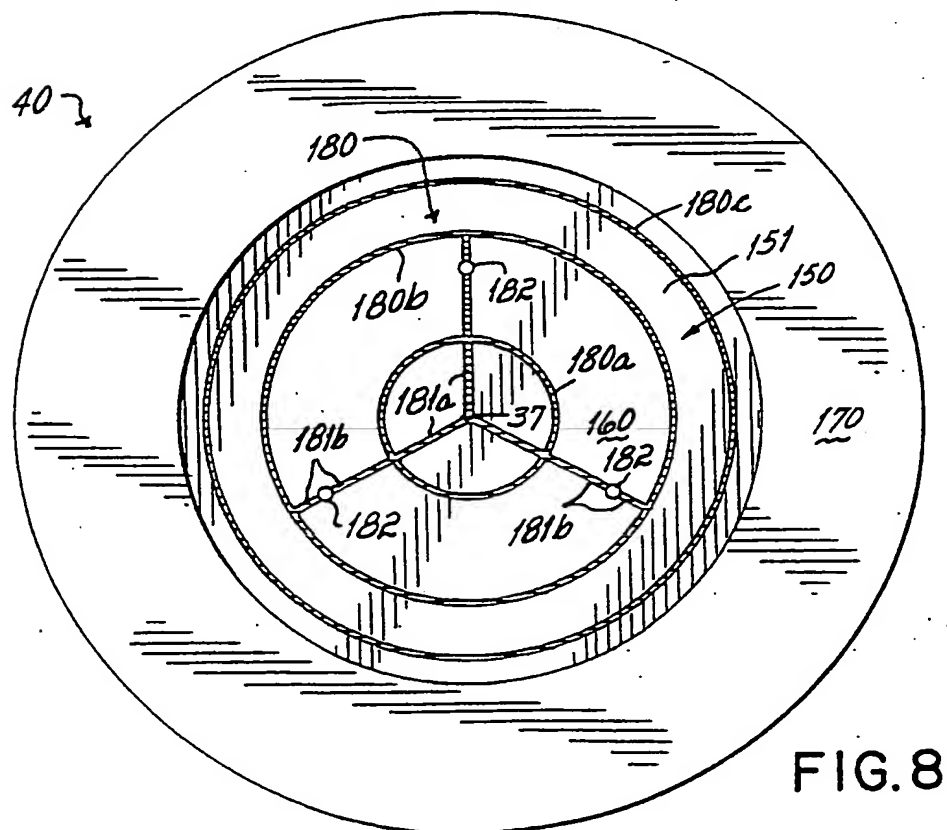
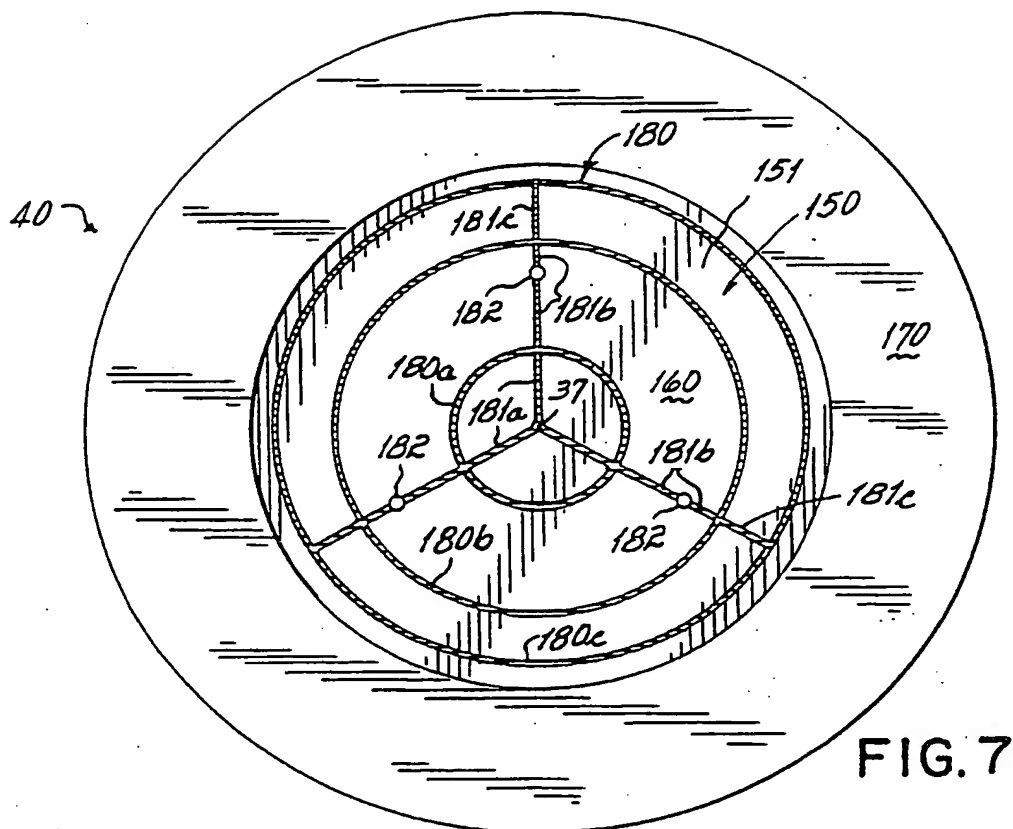


FIG. 6C



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/05656

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC <sup>5</sup> : H 01 L 21/205, H 01 L 21/365		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC <sup>5</sup>	H 01 L, C 23 F, C 23 C	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched *		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT*</b>		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US, A, 4 649 859 (WANLASS) 17 March 1987 (17.03.87), fig. 1; column 3, line 60 - column 5, line 64. ---	1,2,9, 10,12, 20
A	EP, A2, 0 403 293 (KABUSHIKI KAISHA TOSHIBA) 19 December 1990 (19.12.90), fig. 1; page 4, lines 15-42. ---	1,2,9, 20
A	US, A, 5 016 332 (REICHELDERFER et al.) 21 May 1991 (21.05.91), fig. 1,3; claims. ---	1,9,20
P,A	GB, A, 2 255 857. (INTEGRATED PLASMA LTD) 18 November 1992 (18.11.92), fig.; page 4, line 16 - page 6, bottom line. ----	1,2,9, 12,20
<p>* Special categories of cited documents: <sup>14</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"A" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
08 September 1993	30.09.93	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	HAUSWIRTH e.h.	

## ANHANG

zum internationalen Recherchen-  
bericht über die internationale  
Patentanmeldung Nr.

## ANNEX

to the International Search  
Report to the International Patent  
Application No.

## ANNEXE

au rapport de recherche inter-  
national relatif à la demande de brevet  
international n°

PCT/US 93/05656 SAE 76156

In diesem Anhang sind die Mitglieder  
der Patentfamilien der in obenge-  
nannten internationalen Recherchenbericht  
angeführten Patentedokumente angegeben.  
Diese Angaben dienen nur zur Unter-  
richtung und erfolgen ohne Gewähr.

This Annex lists the patent family  
members relating to the patent documents  
cited in the above-mentioned inter-  
national search report. The Office is  
in no way liable for these particulars  
which are given merely for the purpose  
of information.

La présente annexe indique les  
membres de la famille de brevets  
relatifs aux documents de brevets cités  
dans le rapport de recherche inter-  
national visé ci-dessus. Les renseigne-  
ments fournis sont donnés à titre indica-  
tif et n'engagent pas la responsabilité  
de l'Office.

In Recherchenbericht angeführtes Patentedokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membres de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
US A 4649859	17-03-87	keine - none - rien	
EP A2 403293	19-12-90	JP A2 3087019 EP A3 403293 US A 5079184 JP A2 3034537 JP A2 3034536	11-04-91 13-03-91 07-01-92 14-02-91 14-02-91
US A 5016332	21-05-91	keine - none - rien	
GB A1 2255857	18-11-92	GB A0 9110288 GB A0 9206246 GB A0 9206248 GB A0 9206249 GB A1 2255855 GB A1 2256084 GB A1 2256085	03-07-91 06-05-92 06-05-92 06-05-92 18-11-92 25-11-92 25-11-92

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**